

091-19305

For Internal Use Only  
Sec File No. 9-RECEIVED

Submit 1 Original  
and 9 Copies

OMB APPROVAL  
OMB Number: 3235-0504  
Expires: August 31, 2013  
Estimated average burden  
hours per response: . . . . . 3.60

10:15 OCT 14 PM 6: 01  
SEC / MR

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

SEC  
Mail Processing  
Section  
OCT 14 2015

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
3. Class of New Derivative Securities Product:  
Ownership of the Trust
4. Name of Underlying Instrument:  
ETRACS Alerian MLP Index ETN Series B due July 18, 2042
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
6. Ticker Symbol(s) of New Derivative Securities Product:  
AMUB
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
8. Settlement Methodology of New Derivative Securities Product:  
See Prospectus
9. Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus

Washington DC  
404



Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Michael J. Cardin  
Title: Director  
Member Regulation Department  
Telephone Number:  
312-663-2204

Manual Signature of Official Responsible for Form:  
*Michael J. Cardin*  
Date: October 13, 2015

Act: Securities Exchange Act of 1934  
Section: 19b-4  
Rule: 19b-4(e)  
Public Availability: OCT 14 2015



CHICAGO  
STOCK  
EXCHANGE

2015 OCT 14 PM 6:01

SEC / MR

SEC  
Mail Processing  
Section

OCT 14 2015

Washington DC  
404

October 13, 2015

By UPS

Ms. Gayle S. Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

**Re: Form 19b-4(e) for exchange traded product**

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

AMUB	ETRACS Alerian MLP Index ETN Series B due July 18, 2042
MLPB	ETRACS Alerian MLP Infrastructure Index ETN Series B due April 2, 2040

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin  
Director  
Member Regulation Department

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability	OCT 14 2015