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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934  
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)

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2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

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3. Class of New Derivative Securities Product:

Investment Company Units

Washington DC  
404

4. Name of Underlying Instrument:

NASDAQ AlphaDEX Emerging Markets Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-Based



6. Ticker Symbol(s) of New Derivative Securities Product:

FEM

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Brazilian Mercantile and Futures Exchange, Moscow Exchange, The Stock Exchange of Thailand, Budapest Stock Exchange, Hong Kong Stock Exchange, Indonesia Stock Exchange, Borsa Istanbul, Johannesburg Stock Exchange, Bursa Malaysia, London Stock Exchange, Mexico Stock Exchange, National Stock Exchange of India, Philippine Stock Exchange, Prague Stock Exchange, Bolsa de Comercio de Santiago de Chile, Shenzhen Stock Exchange, Shanghai Stock Exchange, Taiwan Stock Exchange, Warsaw Stock Exchange

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Senior Counsel and Assistant Corporate Secretary

Telephone Number:

(212) 656-2938

Manual Signature of Official Responsible for Form:

*Martha Redding*  
October 14, 2015

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 15 2015



Martha Redding  
Senior Counsel  
Assistant Corporate Secretary

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Via Overnight Mail

October 14, 2015

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

Re: **19b-4(e) – Transmittal**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

- First Trust Emerging Markets Small Cap AlphaDEX Fund (FEMS)**
- First Trust Developed Markets ex-US Small Cap AlphaDEX Fund (FDTS)**
- First Trust Latin America AlphaDEX Fund (FLN)**
- First Trust Europe AlphaDEX Fund (FEP)**
- First Trust Asia Pacific Ex-Japan AlphaDEX Fund (FPA)**
- First Trust Emerging Markets AlphaDEX Fund (FEM)**
- First Trust Developed Markets Ex-US AlphaDEX Fund (FDT)**
- Loncar Cancer Immunotherapy ETF (CNCR)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 15 2015