

091-19154 *ju*

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL  
OMB Number: 3235-0504  
Expires: July 31, 2016  
Estimated average burden  
hours per response. . . . 3.60

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)



15020620

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

SEC  
Mail Processing  
Section

Part I

Initial Listing Report

OCT 02 2015

Washington DC  
404

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
EDGA Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.
- Class of New Derivative Securities Product:  
See Attached.
- Name of Underlying Instrument:  
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.

RECEIVED  
2015 OCT -2 PM 1:34  
SEC / MR

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

*Anders Franzon*

Date: October 1, 2015

Act: Securities Exchange Act of 1934  
Section: 19b-4  
Rule: 19b-4(e)  
Public  
Availability: OCT 02 2015

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC.  
 COMMENCING ON OCTOBER 1, 2015

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
GEM	Trust	Investment Company Units	Goldman Sachs ActiveBeta Emerging Markets Equity Index	World	Broad
JHML	Trust	Investment Company Units	John Hancock Dimensional Large Cap Index	U.S.	Broad
JHMM	Trust	Investment Company Units	John Hancock Dimensional Mid Cap Index	U.S.	Broad
JHMC	Trust	Investment Company Units	John Hancock Dimensional Consumer Discretionary Index	U.S.	Broad
JHMF	Trust	Investment Company Units	John Hancock Dimensional Financials Index	U.S.	Broad
JHMH	Trust	Investment Company Units	John Hancock Dimensional Healthcare Index	U.S.	Broad
JHMT	Trust	Investment Company Units	John Hancock Dimensional Technology Index	U.S.	Broad
JPUS	Trust	Investment Company Units	Russell 1000 Diversified Factor Index	U.S.	Broad
MLTI	Corporation	Index Linked Notes	NYSE Multi-Asset High Income Index	World	Broad
QGTA	Trust	Investment Company Units	IQ Leaders GTAA Index	World	Broad
HJPX	Trust	Investment Company Units	JPX-Nikkei 400 Net Total Return USD Hedged Index	World	Broad



RECEIVED

2015 OCT -2 PM 1:34

SEC / MR

SEC  
Mail Processing  
Section

OCT 02 2015

Washington DC  
404

October 1, 2015

Ms. Gail Jackson  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

**RE: Form 19b-4(e) – EDGX Exchange, Inc.**

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding eleven (11) derivative securities products that commenced trading on EDGX Exchange on October 1, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
VP, Associate General Counsel  
913.815.7154

<b>Act</b>	Securities Exchange Act of 1934
<b>Section</b>	19b-4
<b>Rule</b>	19b-4(e)
<b>Public Availability:</b>	OCT 02 2015