

091-191032

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

NYSE Arca, Inc.

**SEC  
Mail Processing  
Section**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

SEP 28 2015

3. Class of New Derivative Securities Product:

Investment Company Units

**Washington DC  
404**

4. Name of Underlying Instrument:

S&P 500 Ex-Energy Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-Based



15020599

6. Ticker Symbol(s) of New Derivative Securities Product:

SPXE

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NYSE, NASDAQ

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Senior Counsel and Assistant Secretary

Telephone Number:

(212) 656-2938

Manual Signature of Official Responsible for Form:

*(Handwritten Signature)*  
September 25, 2015  
SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 28 2015



Martha Redding  
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SEC  
Mail Processing  
Section  
SEP 28 2015  
Washington DC  
404

**Via Overnight Mail**

September 25, 2015

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

Re: **19b-4(e) – Transmittal**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

- FlexShares Credit-Scored US Long Corporate Bond Index Fund (LKOR)**
- FlexShares US Quality Large Cap Index Fund (QLC)**
- Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC)**
- ProShares S&P 500 Ex-Energy ETF (SPXE)**
- ProShares S&P 500 Ex-Financials ETF (SPXN)**
- ProShares S&P 500 Ex-Health Care ETF (SPXV)**
- ProShares S&P 500 Ex-Technology ETF (SPXT)**
- Reaves Utilities ETF (UTES)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
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