

091-18664 fr

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

|   |               |
|---|---------------|
| OMB APPROVAL  |               |
| OMB Number:   | 3235-0504     |
| Expires:  | July 31, 2016 |
| Estimated average burden<br>hours per response. . . . | 3.60          |

RECEIVED

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

2015 JUN 12 PM 2:05

Washington, D.C. 20549

SEC / MR

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

SEC  
Mail Processing  
Section  
JUN 12 2015

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
EDGA Exchange, Inc. Washington DC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached. 404
- Class of New Derivative Securities Product:  
See Attached.
- Name of Underlying Instrument:  
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.



Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: 913-815-7154

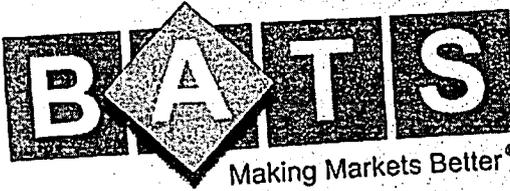
Manual Signature of Official Responsible for Form:

Date: June 11, 2015

|                      |                                 |
|----------------------|---------------------------------|
| Act                  | Securities Exchange Act of 1934 |
| Section              | 19b-4                           |
| Rule                 | 19b-4(e)                        |
| Public Availability: | JUN 12 2015                     |

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGA EXCHANGE, INC.  
 COMMENCING ON JUNE 4, 2015

| <u>(6)</u><br><u>Ticker</u><br><u>Symbol</u> | <u>(2) Type of</u><br><u>Issuer of</u><br><u>NDSP</u> | <u>(3) Class of NDSP</u>    | <u>(4) Name of Underlying</u><br><u>Instrument</u>            | <u>(7) Market(s) upon</u><br><u>Which Securities</u><br><u>Comprising Underlying</u><br><u>Instrument Trades</u> | <u>(5) Broad</u><br><u>or</u><br><u>Narrow</u> |
|--|---|-----------------------------|---|--|--|
| ICVT   | Trust   | Investment Company<br>Units | Barclays U.S. Convertible Cash<br>Pay Bond > \$250MM Index    | U.S.   | Broad  |
| DXUS   | Trust   | Investment Company<br>Units | WisdomTree Global ex-U.S.<br>Hedged Dividend Index            | World  | Broad  |
| HDLS   | Trust   | Investment Company<br>Units | WisdomTree International<br>Hedged SmallCap Dividend<br>Index | World  | Broad  |



RECEIVED

2015 JUN 12 PM 2:06

SEC / MR

SEC  
Mail Processing  
Section

JUN 12 2015

Washington DC  
404

June 11, 2015

Ms. Gail Jackson  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Form 19b-4(e) – EDGA Exchange, Inc.

Ms. Jackson,

On behalf of EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on EDGA Exchange on June 4, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
VP, Associate General Counsel  
913.815.7154

|                      |                                 |
|----------------------|---------------------------------|
| Act                  | Securities Exchange Act of 1934 |
| Section              | 19b-4                           |
| Rule                 | 19b-4(e)                        |
| Public Availability: | JUN 12 2015                     |