

091-18637

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2015 JUN -3 PM 3: 01 SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SEC / MR

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Mail Processing Section**

JUN 03 2015

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: **Washington DC**
The NASDAQ Stock Market LLC **404**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company

3. Class of New Derivative Securities Product:
Exchange Traded Fund

4. Name of Underlying Instrument:
S&P 500® Capex Efficiency Index



5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad Based

6. Ticker Symbol(s) of New Derivative Securities Product:
CAPX

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NASDAQ GS, NEW YORK

8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle T+3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):
N/A

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
William Slattery

Title:
Vice President, Listing Qualifications

Telephone Number:
(301) 978-8081

Manual Signature of Official Responsible for Form:

William Slattery

Date:
May 28, 2015

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUN 03 2015