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SEC / MR UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Mail Processing  
Section

Part I Initial Listing Report JUN 03 2015

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges) Washington DC  
404

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Open End Management Investment Company

3. Class of New Derivative Securities Product:  
Exchange Traded Fund

4. Name of Underlying Instrument:  
Chicago Board Options Exchange Market Volatility Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-based

6. Ticker Symbol(s) of New Derivative Securities Product:  
VXUP

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Listed on: OTC

8. Position Limits of New Derivative Securities Product (if applicable):  
Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):  
N/A



Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Joan Conley

Title:  
Secretary

Telephone Number:  
301-978-8735

Manual Signature of Official Responsible for Form:

Date: May 21, 2015

SEC 2449 (6-01)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUN 03 2015