

091-18471 *mu*

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549  
**FORM 19b-4(e)**

**SEC  
Mail Processing  
Section**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934  
APR 03 2015

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Washington DC  
404**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

NYSE Arca, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

3. Class of New Derivative Securities Product:

Investment Company Units

4. Name of Underlying Instrument:

Sprott Zacks Junior Gold Miners Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

SGDJ

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NYSE, NYE MKT, Toronto

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Senior Counsel and Assistant Corporate Secretary

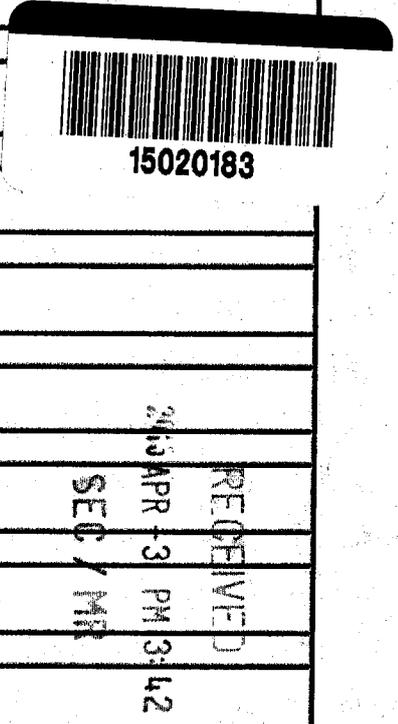
Telephone Number:

(212) 656-2938

Manual Signature of Official Responsible for Form:

*[Handwritten Signature]*  
April 1, 2015  
SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	APR 03 2015



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Martha Redding  
 Senior Counsel  
 Assistant Corporate Secretary

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 11 Wall Street  
 New York, NY 10005  
 T + 1 212 656 2938  
 F + 1 212 656 8101  
 Martha.Redding@theice.com

**Via Overnight Mail**

April 1, 2015

Ms. Gail Jackson  
 US Securities and Exchange Commission  
 Division of Trading and Markets  
 Station Place – Building I  
 100 F Street, N.E. – Room 6628  
 Washington, DC 20549

**Re: 19b-4(e) – ALPS ETF Trust**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

**Sprott Junior Gold Miners ETF (SGDJ)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

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