

OFFICIAL SEAL

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR,
REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION
FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT

Date (MM/DD/YY):
01/13/2015

OFFICIAL
USE
ONLY

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.

INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS

APPLICATION AMENDMENT

1. State the name of the applicant: BOX Options Exchange LLC

2. Provide the applicant's primary street address (Do not use a P.O. Box):

101 Arch Street, Suite 610, Boston, MA 02110



15020128

3. Provide the applicant's mailing address (if different):

4. Provide the applicant's business telephone and facsimile number:
617-235-2235 617-235-2253

(Telephone) (Facsimile)

5. Provide the name, title, and telephone number of a contact employee:
Lisa J. Fall President 617-235-2235

(Name) (Title) (Telephone Number)

6. Provide the name and address of counsel for the applicant:

Alanna Barton

101 Arch Street, Suite 610

Boston, MA 02110

7. Provide the date applicant's fiscal year ends: December 31

8. Indicate legal status of applicant: Corporation Sole Proprietorship Partnership
 Limited Liability Company Other (specify): _____

If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):

(a) Date (MM/DD/YY): 08/06/2010 (b) State/Country of formation: Delaware, USA

(c) Statute under which applicant was organized: DLLCA Sec. 18-201

EXECUTION: The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.

Date: 01/13/2015 BOX Options Exchange LLC

(MM/DD/YY) JA Fall

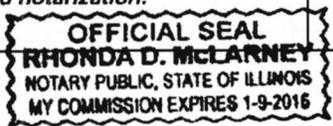
(Name of applicant)
Lisa J. Fall, President

By: _____
(Signature) _____ (Printed Name and Title)

Subscribed and sworn before me this 13 day of January, 2015 by RHONDA D MCLARNEY

My Commission expires 1/9/2016 County of COOK State of ILLINOIS

This page must always be completed in full with original, manual signature and notarization.
Affix notary stamp or seal where applicable.



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BOX OPTIONS EXCHANGE LLC

AMENDMENT No. 13 to FORM 1 APPLICATION and EXHIBITS

**The Form 1 application is hereby amended as set forth in this Amendment No. 13.
The Form 1 application is not being modified in any respect other than to the extent
set forth below.**



Amendment to:

Exhibit C

Request:

For each subsidiary or affiliate of the applicant, and for any entity with whom the applicant has a contractual or other agreement relating to the operation of an electronic trading system to be used to effect transactions on the exchange (“System”), provide the following information:

1. Name and address of organization.
2. Form of organization (e.g., association, corporation, partnership, etc.).
3. Name of state and statute citation under which organized. Date of incorporation in present form.
4. Brief description of nature and extent of affiliation.
5. Brief description of business or functions. Description should include responsibilities with respect to operation of the System and/or execution, reporting, clearance, or settlement of transactions in connection with operation of the System.
6. A copy of the constitution.
7. A copy of the articles of incorporation or association including all amendments.
8. A copy of existing by-laws or corresponding rules or instruments.
9. The name and title of the present officers, governors, members of all standing committees, or persons performing similar functions.
10. An indication of whether such business or organization ceased to be associated with the applicant during the previous year, and a brief statement of the reasons for termination of the association.



Exhibit C is hereby amended as set forth below. The Exhibit C is not being modified in any respect other than to the extent set forth below.

Amendment to Prior Response:

BOX Holdings Group LLC

9. Directors of BOX Holdings Group LLC

- Peter J. Layton (Chairman), Chief Executive Officer, Tallgrass Group, LLC
- Alain Miquelon, President and Chief Executive Officer, Montreal Exchange Inc.
- Brenda Hoffman, Senior Vice President, Group Head of Information Technology, TMX Group Inc.
- Milan Galik, Senior Vice President, Interactive Brokers Group, LLC
- David M. Battan, Vice President and General Counsel, Interactive Brokers Group, LLC
- William Easley (Vice Chairman), Managing Director, Aragon Solutions, Ltd.
- Ryan Gould, Managing Director, Citigroup Inc.
- James Masserio, Managing Director, Credit Suisse Group
- Kenneth MacHarg, Managing Director, JP Morgan
- Chae Yi, Executive Director, UBS Securities LLC
- Chip Dempsey, Executive Director, Morgan Stanley & Co. Inc.
- John C. Nagel, Director and Associate General Counsel, Citadel Investment Group

Committees:

Audit Committee:

- David Battan
- Ryan Gould
- Peter Layton

Compensation Committee:

- Alain Miquelon
- Peter Layton
- Milan Galik

Executive Committee:

- Peter Layton
- David Battan
- William Easley
- Tony McCormick
- Alain Miquelon



BOX[®]
OPTIONS EXCHANGE

BOX Market LLC

9. Directors of BOX Holdings Group LLC

- Peter J. Layton (Chairman), Chief Executive Officer, Tallgrass Group, LLC
- Alain Miquelon, President and Chief Executive Officer, Montreal Exchange Inc.
- Brenda Hoffman, Senior Vice President, Group Head of Information Technology, TMX Group Inc.
- Milan Galik, Senior Vice President, Interactive Brokers Group, LLC
- David M. Battan, Vice President and General Counsel, Interactive Brokers Group, LLC
- William Easley (Vice Chairman), Managing Director, Aragon Solutions, Ltd.
- Ryan Gould, Managing Director, Citigroup Inc.
- James Masserio, Managing Director, Credit Suisse Group
- Kenneth MacHarg, Managing Director, JP Morgan
- Chae Yi, Executive Director, UBS Securities LLC
- Chip Dempsey, Executive Director, Morgan Stanley & Co. Inc.
- John C. Nagel, Director and Associate General Counsel, Citadel Investment Group

Committees:

Audit Committee:

- David Battan
- Ryan Gould
- Peter Layton

Compensation Committee:

- Alain Miquelon
- Peter Layton
- Milan Galik

Executive Committee:

- Peter Layton
- David Battan
- William Easley
- Tony McCormick
- Alain Miquelon



Amendment to:

Exhibit J

Request:

A list of the officers, governors, members of all standing committees, or persons performing similar functions, who presently hold or have held their offices or positions during the previous year, indicating the following for each:

1. Name.
2. Title.
3. Dates of commencement and termination of term of office or position.
4. Type of business in which each is primarily engaged (e.g., floor broker, specialist, odd lot dealer, etc.).

Exhibit J is hereby amended as set forth below. The Exhibit J is not being modified in any respect other than to the extent set forth below.

Amendment to Prior Response:

1. Officers of the Exchange:

Name:	Title:	Date of Commencement:
Tony McCormick	Chief Executive Officer	April 28, 2011
Lisa Fall	President and Secretary	April 28, 2011
Bruce Goodhue	Chief Regulatory Officer	January 1, 2014



2. Directors:

Each of the following directors was elected on July 23, 2014 to a one-year term, each to serve until his replacement is duly elected or until his earlier death, disability, removal or resignation.

Name:	Title:	Primary Business:
James Boyle	Participant Director	Options trading
Seth Lowenberger	Participant Director	Options trading
Wayne Luthringshausen	Non-Industry Director	None/Retired
Larry Mollner	Non-Industry Director	None/Retired
Paul Stevens	Non-Industry Director	None/Retired
Robert Whaley	Non-Industry Public Director	Professor

3. Committees:

Each of the following committee members was elected on July 23, 2014 to a one-year term, each to serve until his replacement is duly elected or until his earlier death, disability, removal or resignation.

Audit Committee

- James Boyle
- Larry Mollner
- Paul Stevens

Compensation Committee

- Wayne Luthringshausen
- Larry Mollner
- Robert Whaley

Regulatory Oversight Committee

- Larry Mollner
- Paul Stevens
- Robert Whaley

Nominating Committee

- James Boyle
- Seth Lowenberger
- Wayne Luthringshausen
- Larry Mollner
- Paul Stevens
- Robert Whaley



Hearing Committee

- Jack Boyle
- Paul Finnegan
- Marie Mikell

Risk Committee

- James Boyle
- Wayne Luthringshausen
- Paul Stevens

BOX 6A-2

From: (312) 444-6331
Karen Stephanie
BOX Options Exchange
135 S. LaSalle Street, Suite 4250
Suite 4250
Chicago, IL 60603

Origin ID: CHIA

FedEx
Express



J142214082303uv

Ship Date: 13JAN15
ActWgt: 0.5 LB
CAD: 2505919/INET3550

Delivery Address Bar Code



Ref # Legal
Invoice #
PO #
Dept #



To: Grobbel, Christoph

Department: HQ/TM

Phone: 202.551.5491

Route: HQ-7a

Mail Stop: 7010

Building: SP1

Package Type:

Sender Name:

SHIP TO: (202) 551-5777

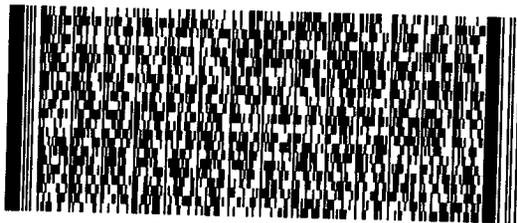
BILL SENDER

Christopher Grobbel
US SEC, Div. of Trading & Markets
100 F Street N.E.

WASHINGTON, DC 20549

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