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MISSION

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**ANNUAL AUDITED REPORT**  
**FORM X-17A-5**  
**PART III**

SEC  
Mail Processing  
Section  
MAR 04 2014

SEC FILE NUMBER
8- 53523

Washington DC  
406

FACING PAGE

**Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

REPORT FOR THE PERIOD BEGINNING 01/01/2013 AND ENDING 12/31/2013  
MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER-DEALER: **W.J. Bradley Financial Services, LLC**

OFFICIAL USE ONLY
FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

**6465 Greenwood Plaza Blvd, Ste 500**

(No. and Street)

**Centennial**

**CO**

**80111**

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

**Timothy Billings**

**303-302-9992**

(Area Code - Telephone Number)

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

**EKSH, LLLP**

(Name - if individual, state last, first, middle name)

**7979 E. Tufts Ave, Ste 400**

**Denver**

**CO**

**80237**

(Address)

(City)

(State)

(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

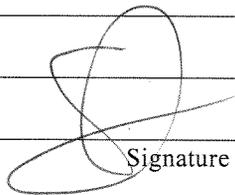
\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

3/28/14

OATH OR AFFIRMATION

I, William J. Bradley, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of W.J. Bradley Financial Services, LLC, as of December 31, 20 13, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

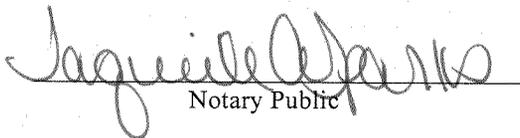
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Signature

CEO

Title

  
Notary Public

**JAQUELINE A SPARKS  
NOTARY PUBLIC  
STATE OF COLORADO  
NOTARY ID 20124075257  
MY COMMISSION EXPIRES NOVEMBER 19, 2016**

This report \*\* contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

**W.J. BRADLEY FINANCIAL SERVICES, LLC**

**Statements of Financial Condition**

	December 31,	
	<u>2013</u>	<u>2012</u>
<b>Assets</b>		
Assets		
Cash	\$ 1,442,182	\$ 768,957
Restricted cash	500,000	-
Accounts receivable from Parent	108,496	-
Prepaid expenses	12,082	10,908
Total current assets	<u>2,062,760</u>	<u>779,865</u>
Non-current assets		
Broker-dealer license	112,500	112,500
Total non-current assets	<u>112,500</u>	<u>112,500</u>
Total assets	<u>\$ 2,175,260</u>	<u>\$ 892,365</u>
<b>Liabilities and Member's Equity</b>		
Liabilities		
Accounts payable and accrued expenses	\$ 39,500	\$ -
Due to related party	-	10,000
Total current liabilities	<u>39,500</u>	<u>10,000</u>
Commitments and contingencies		
Member's equity		
Limited liability company shares, 17,000 shares issued and outstanding	17,000	17,000
Additional paid-in capital from Parent	3,306,677	879,483
Accumulated deficit	<u>(1,187,917)</u>	<u>(14,118)</u>
Total member's equity	<u>2,135,760</u>	<u>882,365</u>
Total liabilities and member's equity	<u>\$ 2,175,260</u>	<u>\$ 892,365</u>

See notes to financial statements.