

PUBLIC



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SECURITIES AND EXCHANGE  
Washington, D.C. 20

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**ANNUAL AUDITED REPORT  
FORM X-17A-5  
PART III**

CM

SEC FILE NUMBER  
8-68187

FACING PAGE

**Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

REPORT FOR THE PERIOD BEGINNING 01/01/2013 AND ENDING 12/31/2013  
MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER-DEALER: Bolton Securities Corporation

OFFICIAL USE ONLY  
FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

579 Main St

(No. and Street)

Bolton

MA

01740

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Eugene Hayes

(978) 779-5361 Ext 2220

(Area Code - Telephone Number)

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

Paolilli, Jarek and DerAnanian LLC

(Name - if individual, state last, first, middle name)

319 Littleton Road

Westford

MA

01886

(Address)

(City)

(State)

(Zip Code)

**CHECK ONE:**

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

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\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

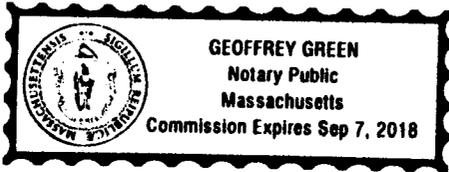
SEC 1410 (06-02)

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OATH OR AFFIRMATION

I, Eugene Hayes, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Bolton Securities Corporation, as of December 31, 2013, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:



Eugene Hayes
Signature
Financial & Operations Principal
Title

[Signature]
Notary Public

This report \*\* contains (check all applicable boxes):

- (a) Facing Page.
(b) Statement of Financial Condition.
(c) Statement of Income (Loss).
(d) Statement of Changes in Financial Condition.
(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
(g) Computation of Net Capital.
(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
(l) An Oath or Affirmation.
(m) A copy of the SIPC Supplemental Report.
(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

**BOLTON SECURITIES CORPORATION**

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**BALANCE SHEETS**

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As of December 31, 2013 and 2012

|  | <u>2013</u>       | <u>2012</u>       |
|--|-------------------|-------------------|
| Assets:  |                   |                   |
| Current Assets:  |                   |                   |
| Cash and cash equivalents  | \$ 344,187        | \$ 311,841        |
| Receivables from others  | 7,168             | 1,113             |
| Note receivable  | 33,333            | 0                 |
| Deferred charges   | 7,811             | 6,199             |
| Total Current Assets   | <u>392,499</u>    | <u>319,153</u>    |
| <br>   |                   |                   |
| Note receivable - non-current  | 55,556            | 0                 |
| Cash - clearing deposit  | 50,000            | 50,000            |
| <br>   |                   |                   |
| Total Assets   | <u>\$ 498,055</u> | <u>\$ 369,153</u> |
| <br>Liabilities and Stockholder's Equity:  |                   |                   |
| Current Liabilities:   |                   |                   |
| Accounts payable and accrued expenses  | \$ 33,381         | \$ 27,537         |
| Accounts payable - related party   | 8,669             | 12,726            |
| Commissions payable - registered investment advisors                               | 150,268           | 60,241            |
| <br>   |                   |                   |
| Total Current Liabilities  | <u>192,318</u>    | <u>100,504</u>    |
| <br>Stockholder's Equity:  |                   |                   |
| Common stock, \$.01; 3,000 shares authorized,<br>100 shares issued and outstanding | 1                 | 1                 |
| Additional paid-in capital   | 28,920            | 28,920            |
| Retained earnings  | 276,816           | 239,728           |
| <br>   |                   |                   |
| Total Stockholder's Equity   | <u>305,737</u>    | <u>268,649</u>    |
| <br>   |                   |                   |
| Total Liabilities and Stockholder's Equity   | <u>\$ 498,055</u> | <u>\$ 369,153</u> |

The accompanying notes are an integral part  
of these financial statements.