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OFFICIAL USE  
011-00216

# Notice of Government Securities Broker or Government Securities Dealer Activities to be Filed by a Financial Institution under Section 15C(a)(1)(B) of the Securities Exchange Act of 1934

1. Check appropriate regulatory agency (ARA):

- A.  Comptroller of the Currency
- B.  Board of Governors of the Federal Reserve System
- C.  Federal Deposit Insurance Corporation
- D.  Securities and Exchange Commission

2. Conducts business as:

- A.  Government Securities Broker
- B.  Government Securities Dealer
- C.  Government Securities Broker and Dealer

3. Filing status of notice:

- A.  Notice
- B.  Amendment

4. A. Full name of the financial institution Commerce Bank

B. Address of principal office of financial institution:

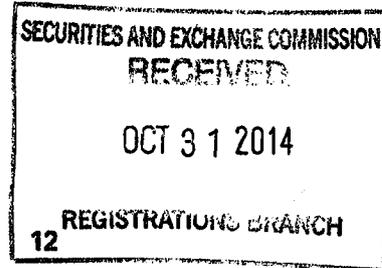
1000 Walnut, 4th Floor BB41  
 Address  
Kansas City MO 64106  
 City State Zip Code

C. Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)):

Address  
 City State Zip Code

D. Mailing address if different from (B) or (C):

PO Box 419248, KCCMG  
 Address  
Kansas City MO 64141-6248  
 City State Zip Code



E. Name, title, and telephone number of contact person with respect to this notice:

Thomas H. Kokjer Managing Director 816-234-2690  
 Name Title Area Code / Phone Number

5. Does financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above? A.  Yes B.  No  
 (If yes, provide addresses and describe activities.)

<u>8000 Forsyth Blvd</u> Address	<u>Clayton</u> City	<u>MO</u> State	<u>63105</u> Zip Code	<u>Trading and Sales Activities</u> Describe Activities
<u>1551 N. Waterfront Pkwy</u> Address	<u>Wichita</u> City	<u>KS</u> State	<u>67201</u> Zip Code	<u>purchase and sale of non-deposit investments</u> Describe Activities
<u>1345 Battlefield</u> Address	<u>Springfield</u> City	<u>MO</u> State	<u>65804</u> Zip Code	<u>purchase and sale of non-deposit investments</u> Describe Activities
<u>see attached</u> Address				

6. Furnish the name and title of each person who is directly engaged in the management, direction, or supervision of any of the financial institution's government securities broker or government securities dealer activities:

Full Name

Kokjer, Thomas, Hartwell

Managing Director

Last / First / Middle

Title

**NOTE: Attach a separate Form G-FIN-4 (or, if previously filed, a copy of Form MSD-4 or Form U-4) for each person named in item 6.**

7. Has any "associated person" (see definition in paragraph A.7. of the instructions) responded "yes" to any question in Item 17 of Form G-FIN-4, or "yes" to one or more questions in Items 23 through 26 of Form MSD-4 or Item 22 on Form U-4?

A.  Yes B.  No

**NOTE: The financial institution and the person executing this form are responsible for making an inquiry of all other employers of any associated person during the immediately preceding three years for the purpose of verifying the accuracy of the information furnished on Form G-FIN-4. (See 17 C.F.R. § 400.4(c)). Similar requirements are applicable to Form MSD-4 and Form U-4.**

8. **The financial institution submitting this notice and the person executing it represent that all of the information contained herein is true, current, and complete.**

Please print name and title of person executing this notice:

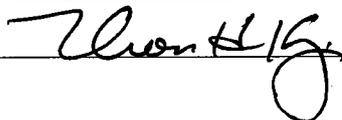
Thomas Hartwell Kokjer

Name (First, Middle, Last)

Managing Director

Title

Signature



Date

10/30/14

12 (a)  
Locations

**Kansas City**

1000 Walnut St. Ste. 400, Kansas City, MO 64106

- Municipal and other Exempt Securities Trading and Sales Activities
- Municipal Underwriting and Advisory Activities
- Back Office / Operations functions (i.e. customer record retention)

**Clayton**

8000 Forsyth Blvd Ste. 1200, Clayton, MO 63105

- Municipal and other Exempt Securities Trading and Sales Activities
- Municipal Underwriting and Advisory Activities

**Wichita**

1551 Waterfront Pkwy, Wichita, KS 67201

- Municipal and other Exempt Securities Sales Activities

**Springfield**

1345 E. Battlefield, Springfield, MO 65804

- Municipal and other Exempt Securities Sales Activities

**Columbia**

901 Broadway, Columbia, MO 65205

- Municipal Underwriting and Advisory Activities

**Dallas**

2828 N. Harwood Ste. 1250, Dallas, TX 75201

- Municipal and other Exempt Securities Sales Activities