SEC



IISSION

OMB APPROVAL OMB Number: Expires: February 28, 2010 Estimated average burden hours per response..... 12.00

SEC FILE NUMBER

ANNUAL AUDITED REPORT FORM X-17A-5 PART III

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PE	RIOD BEGINNING	71113	AND ENDING	6130114
		MM/DD/YY	· · · · · · · · · · · · · · · · · · ·	MM/DD/YY
		STRANT IDENTIFIC		
NAME OF BROKER-D	EALER: ASHMO PAL PLACE OF BUSIN	re Investmen US) (NESS: (Do not use P.O. Bo	t Managern Corporation ox No.)	FIRM I.D. NO.
		(No. and Street)		
(City		(State)	•	Zip Code)
·			•	(Area Code – Telephone Number)
ę	B. ACCO	UNTANT IDENTIFIC	CATION	(Trick Code Telephone (Validot)
independent publi		ose opinion is contained in My LP [ame - Mindividual, state last, fir		
(Address)		(City)	(State)	(Zip Code)
CHECK ONE:				
☐ Certified F	ublic Accountant			
☐ Public Acc	countant			
☐ Accountan	t not resident in United	States or any of its posses	sions.	
	F(OR OFFICIAL USE ON	ILY	

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

SEC 1410 (06-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

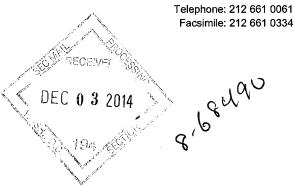
Ashmore Investment Management (US) Corporation 122 East 42nd Street, Suite 5005

New York, NY 10168

Facsimile: 212 661 0334

November 25, 2014

Securities and Exchange Commission Registration Branch Mail Stop 8031 100 F Street, NE Washington, DC 20549



Dear Sir/Madam

With regard to the audited financial statements submitted for Ashmore Investment Management (US) Corporation (FINRA CRD # 152920) in August 2014, please find attached the Exemption letter that should have accompanied the statements. We apologize for any inconvenience. Please contact Chris Tsutsui at 212-661-0061 or chris.tsutsui@ashmoregroup.com if you have any questions.

The Market Control of the English of the Control of

Sincerely.

Chris Tsutsui

Ashmore Investment Management (US) Corporation

Ashmore Investment Management (US) Corporation 122 East 42nd Street, Suite 5005 New York, NY 10168

August 5, 2014

To Whom It May Concern,

Ashmore Investment Management (US) Corporation (the "Company") claims exemption under the exemptive provisions of Rule 15c3-3 under subparagraph (k)(1) - all customer transactions are limited to the sale and redemption of public and private funds and the Company does not maintain customer accounts or handle customer funds. The Company met this exemption provision through the fiscal year ended June 30, 2014 without exception.

Very truly yours,

Chris Tsutsui

Chief Financial Officer

Ashmore Investment Management (US) Corporation