





UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ANNUAL AUDITED REPORT FORM X-17A-5 PART III

OMB APPROVAL				
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FACING PAGE Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING	1/1/2013	AND ENDING	12/31/2013		
	MM/DD/YY		MM/DD/YY		
A. R	EGISTRANT IDENTI	FICATION			
NAME OF BROKER-DEALER:					
Parker Rose LLC			OFFICIAL USE ONLY		
			FIRM ID. NO.		
ADDRESS OF PRINCIPAL PLACE OF BUSINESS	: (Do not use P.O. Box No.)				
39 E	Broadway, Suite 3300				
	(No. and Street)		•		
New York	NY		10006		
(City)	(State)		(Zip Code)		
NAME AND TELEPHONE NUMBER OF PERSON	TO COMPACE IN DECAD	O TO THE DEDOUT			
	TO CONTACT IN REGAR	D TO THIS KEPOKT			
Kathy Efrem		<u>.</u>	212-897-1686		
			(Area Code Telephone No.)		
B. A.	CCOUNTANT IDENT	IFICATION			
INDEPENDENT PUBLIC ACCOUNTANT whose o	pinion is contained in this Re	port*			
YSL	. & Associates LLC				
(N	ame — if individual, state last, first, i	middle name)			
11 Broadway, Suite 700	New York	NY	10004		
(Address)	(City)	(State)	(Zip Code)		
CHECK ONE: Certified Public Accountant		•			
☐ Public Accountant	•				
Accountant not resident in United State	s or any of its possessions	•			
	FOR OFFICIAL USE OF	NLY			
		, .			

SEC 1410 (06-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

KH 6/17

^{*}Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See section 240.17a-5(e)(2).

OATH OR AFFIRMATION

•	
I, Kathy Efrem	, swear (or affirm) that, to the
best of my knowledge and belief the accompanying financial state	
Parker Rose LLC	, as of
	orrect. I further swear (or affirm) that neither the company
nor any partner, proprietor, principal officer or director has any p	roprietary interest in any account classified solely as that of
a customer, except as follows:	
	
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	Title
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Notary Public	
	HOWARD SPINDEL
	NOTARY PUBLIC-STATE OF NEW YORK
	No Claration NEW YORK
This report** contains (check all applicable boxes):	No. 018P4787941
X (a) Facing page.	Qualified in New York County
(b) Statement of Financial Condition.	My Commission Expires November 21, 20/7
(c) Statement of Operations.	
(d) Statement of Cash Flows	,
(b) Statement of Financial Condition. (c) Statement of Operations. (d) Statement of Cash Flows (e) Statement of Changes in Member's Equity	
(f) Statement of Changes in Liabilities Subordinated to Clai	ims of Creditors.
(g) Computation of Net Capital. (h) Computation for Determination of Reserve Requirement	
(h) Computation for Determination of Reserve Requirement	ts Pursuant to Rule 15c3-3.
(i) Information Relating to the Possession or control Requi	
(j) A Reconciliation, including appropriate explanation, of	
Computation for Determination of the Reserve Requirem	-
- · ·	atements of Financial Condition with respect to methods of con-
solidation. (I) An Oath or Affirmation.	
(m) A copy of the SIPC Supplemental Report.	
	arries on formal to have suisted since the data of the merricus andit
	exist or found to have existed since the date of the previous audit.
(o) Independent auditor's report on internal accounting cont	
 (p) Schedule of segregation requirements and funds in segre pursuant to Rule 171-5. 	egationcustomers' regulated commodity futures account

^{**} For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

PARKER ROSE LLC (A Company in the Development Stage)

STATEMENT OF FINANCIAL CONDITION DECEMBER 31, 2013

Cash CRD deposits	\$	9,899 1,362			
Total assets	\$	11,261			
Liabilities and Member's Capital					
Liabilities:					
Due to affiliate	\$	1,662			
Member's capital		9,599			
Total liabilities and member's capital	\$	11,261			

The accompanying notes are an integral part of these financial statements.