





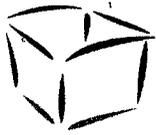
2014 MAR 19 PM 12:16

SEC / MR

# BOX OPTIONS EXCHANGE LLC

## AMENDMENT No. 8 to FORM 1 APPLICATION and EXHIBITS

**The Form 1 application is hereby amended as set forth in this Amendment No. 8.  
The Form 1 application is not being modified in any respect other than to the extent  
set forth below.**



**BOX**<sup>®</sup>  
OPTIONS EXCHANGE

**Amendment to:**

**Exhibit C**

**Request:**

For each subsidiary or affiliate of the applicant, and for any entity with whom the applicant has a contractual or other agreement relating to the operation of an electronic trading system to be used to effect transactions on the exchange ("System"), provide the following information:

1. Name and address of organization.
2. Form of organization (e.g., association, corporation, partnership, etc.).
3. Name of state and statute citation under which organized. Date of incorporation in present form.
4. Brief description of nature and extent of affiliation.
5. Brief description of business or functions. Description should include responsibilities with respect to operation of the System and/or execution, reporting, clearance, or settlement of transactions in connection with operation of the System.
6. A copy of the constitution.
7. A copy of the articles of incorporation or association including all amendments.
8. A copy of existing by-laws or corresponding rules or instruments.
9. The name and title of the present officers, governors, members of all standing committees, or persons performing similar functions.
10. An indication of whether such business or organization ceased to be associated with the applicant during the previous year, and a brief statement of the reasons for termination of the association.



**BOX**<sup>®</sup>  
OPTIONS EXCHANGE

**Exhibit C is hereby amended as set forth below. The Exhibit C is not being modified in any respect other than to the extent set forth below.**

**Amendment to Prior Response:**

**BOX Holdings Group LLC**

**9. Directors of BOX Holdings Group LLC**

- Peter J. Layton (Chairman), Chief Executive Officer, Tallgrass Group, LLC
- Tom Kloet, Chief Executive Officer, TMX Group Inc.
- Alain Miquelon, President and Chief Executive Officer, Montreal Exchange Inc.
- Milan Galik, Senior Vice President, Interactive Brokers Group, LLC
- David M. Battan, Vice President and General Counsel, Interactive Brokers Group, LLC
- William Easley (Vice Chairman), Managing Director, Aragon Solutions, Ltd.
- Ryan Gould, Managing Director, Citigroup Inc.
- James Masserio, Managing Director, Credit Suisse Group
- Kenneth MacHarg, Managing Director, JP Morgan
- Shiliang Tang, Managing Director, UBS Securities LLC
- Chip Dempsey, Executive Director, Morgan Stanley & Co. Inc.
- John C. Nagel, Director and Associate General Counsel, Citadel Investment Group

**Committees:**

**Audit Committee:**

- Tom Kloet
- David Battan
- Ryan Gould

**Compensation Committee:**

- Alain Miquelon
- Peter Layton
- Milan Galik

**Executive Committee:**

- Peter Layton
- David Battan
- William Easley
- Thomas Kloet
- Tony McCormick
- Alain Miquelon



**BOX**<sup>®</sup>  
OPTIONS EXCHANGE

**BOX Market LLC**

**9. Directors of BOX Holdings Group LLC**

- Peter J. Layton (Chairman), Chief Executive Officer, Tallgrass Group, LLC
- Tom Kloet, Chief Executive Officer, TMX Group Inc.
- Alain Miquelon, President and Chief Executive Officer, Montreal Exchange Inc.
- Milan Galik, Senior Vice President, Interactive Brokers Group, LLC
- David M. Battan, Vice President and General Counsel, Interactive Brokers Group, LLC
- William Easley (Vice Chairman), Managing Director, Aragon Solutions, Ltd.
- Ryan Gould, Managing Director, Citigroup Inc.
- James Masserio, Managing Director, Credit Suisse Group
- Kenneth MacHarg, Managing Director, JP Morgan
- Shiliang Tang, Managing Director, UBS Securities LLC
- Chip Dempsey, Executive Director, Morgan Stanley & Co. Inc.
- John C. Nagel, Director and Associate General Counsel, Citadel Investment Group

**Committees:**

**Audit Committee:**

- Tom Kloet
- David Battan
- Ryan Gould

**Compensation Committee:**

- Alain Miquelon
- Peter Layton
- Milan Galik

**Executive Committee:**

- Peter Layton
- David Battan
- William Easley
- Thomas Kloet
- Tony McCormick
- Alain Miquelon