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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SEC / MR

FORM 19b-4(e)

Mail Processing  
Section  
MAY 20 2014

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934  
Washington DC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Ownership of the Trust
- Name of Underlying Instrument:  
First Trust Managed Municipal ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product:  
FMB
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
- Settlement Methodology of New Derivative Securities Product:  
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Steven I. Givot

Title: Vice President - Strategy and Product Management  
Act Securities Exchange Act of 1934

Telephone Number: 312-663-2261  
Section Rule 19b-4

Manual Signature of Official Responsible for Form:  
Public Availability: MAY 20 2014

Date: May 16, 2014



Chicago Stock Exchange

May 16, 2014

By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE - Stop 7010
Washington, DC 20549

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Section
MAY 20 2014
Washington DC
404

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Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following exchange traded products:

Table with 2 columns: FMB, First Trust Managed Municipal ETF

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Handwritten signature of Steven I. Givot

Steven I. Givot
Vice President - Strategy and Product Management

Enclosures

Form with fields: Act (Securities Exchange Act of 1934), Section (19b-4), Rule (19b-4(e)), Public Availability: MAY 20 2014