• X				
Form 1 Page 1 Executio Page	WASHINGTON DC 2054	9 PPLICATION FOR,	Date filed (MM/DD/YY):	OFFICIAL USE ONLY
WARNIN keep acc would vid	G: Failure to keep this form current and to file accurate supplement curate books and records or otherwise to comply with the provision plate the federal securities laws and may result in disciplinary, admi INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY	ary information on a timely basis is of law applying to the conduct	t of the applicant	
1. Stat		MAMENDMENT		
	e the name of the applicant: <u>International Securities Exchange</u>			
	ride the applicant's primary street address (Do not use a P.O. B	ox):		
<u>60 B</u>	road St. 26th Floor, New York, NY 10004		e e e e e e e e e e e e e e e e e e e	
3. Prov N/A	ide the applicant's mailing address (if different):	13035801	SEC	RECEIVED 1: 18
4. Prov	ide the applicant's business telephone and facsimile number	:	- I M	PH
	243-2400	09-3955	R	
	(Telephone) (Facsi	mile)		¢0
	ide the name, title, and telephone number of a contact emplo	yee:		
Rona	n Cahill, Senior Legal & Regulatory Associate, 212-897-8152			
	(Name) (Title)	(Telephone Number)		
	de the name and address of counsel for the applicant:			
	ael J. Simon			
	oad Street, 26th Floor York, NY 10004			
	de the date applicant's fiscal year ends:			
8. Indicate legal status of applicant: □ Corporation □ Sole Proprietorship □ Partnership ☑ Limited Liability Company □ Other (specify):				
v	er than a sole proprietor, indicate the date and place where a here incorporated, place where partnership agreement was f ate (MM/DD/YY): 05/30/12 (b) State/Country of fo	filed or where applicant entity	itus (e.g. state was formed):	
(c) S	atute under which applicant was organized: Delaware Limited Li	iability Company Act. 6 Del. C section	n 18-101 et. seg	
EXECUTI Exchange C applicant's of sworn, depo applicant re	ON: The applicant consents that service of any civil action brought by, or commission in connection with the applicant's activities may be given by re- contact employee at the main address, or mailing address if different, given bases and says that he/she has executed this form on behalf of, and with the present that the information and statements contained herein, including exh formation filed herewith, all of which are made a part hereof, are current, tru	gistered or certified mail or confirme n in Items 2 and 3. The undersigne ne authority of, said applicant. The ibits, schedules, or other documents	d telegram to the d, being first duly undersigned and	
Date:	10/1//2013 International Securities			
ву:	on Celik Ronan Cahill	e of applicant) Legal Associate		
Subscribed	(Signature) d and sworn before me this <u>11 ^H</u> day of <u>DL Hober</u> <u>20</u>	By Same Alter Bath	D	
	(Month) (Year)	(Notary Public) State of New York		
9	This page must always be completed in full with original, ma			
	Affix notary stamp or seal where app	Notary Public - SAMIF	R PATEL State of New York	7
	4		A6149278 Iassau County pires 7/10/14	



International Securities Exchange.

October 11, 2013

VIA FEDERAL EXPRESS

Chris Grobbel U.S. Securities and Exchange Commission Division of Market Regulation Office of Market Supervision 100 F Street NE Mail Stop 6628 Washington, DC 20549

RECEIVED MIJORT 16 PM 1: 18 SEC / MR

Re: Topaz Exchange, LLC SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the Topaz Exchange, LLC's ("Topaz") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, Topaz issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on Topaz's website:

Options

New Listings: <u>http://ise.com/newlistings</u> Delistings: <u>http://ise.com/delistings</u> Expiration Notices: <u>http://ise.com/expirationnotices</u> Series Additions/Deletions: <u>http://ise.com/serieslist</u> Market Information Circulars: <u>http://ise.com/mics</u>

Index Options

Recent Index Changes: http://ise.com/newlistings

Index Settlement Values:

http://www.ise.com/options/products-traded/index-settlement-values

Legal & Regulatory

Regulatory Information Circulars: http://www.ise.com/rics

Rules:

http://www.ise.com/rules

Marketing Material

Press Releases:

http://www.ise.com/press-room/press-releases/ Publications:

http://www.ise.com/publications

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely,

Ronan Cahill Senior Legal & Regulatory Associate

Exhibit M

Approved Members:

HRT Financial LLC 32 Old Slip, 30th Floor New York, NY 10005 Contact: Adam Nunes(212) 293-1927 Electronic Access Member Electronic Access Member

Lek Securities Corporation One Liberty Plaza, 52nd Floor New York, NY 10006 Contact: Mike Mainwald (212) 509-2300 Electronic Access Member Electronic Access Member