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Form 1 Page 1 xecution Page	UNITED STATES SECURITIES AN WASHINGTO APPLICATION FOR, AND AMENDA REGISTRATION AS A NATIONAL SECU FROM REGISTRATION PURSUANT TO S	N, D.C. 20549 MENTS TO APPLICATION F RITIES EXCHANGE OR EX	OR, EMPTION	Date filed (MM/DD/YY):	official USE Only
eep accurate ould violate 1	ilure to keep this form current and to file accurate books and records or otherwise to comply with the federal securities laws and may result in disc ENTIONAL MISSTATEMENTS OR OMISSIONS O	the provisions of law applyin iplinary, administrative, or c F FACTS MAY CONSTITUTE	ng to the conduct o riminal action. CRIMINAL VIOLAT	f the applicant	-71
			DMENT	SEP	R
	e name of the applicant: Topaz Exchange			EC -4	Ĥ
. Provide t	the applicant's primary street address (Do no	ot use a P.O. Box):		-	N
60 Broa	ad Street, 26th Floor, New York, NY 10004			T	CEIVED
. Provide t N/A	the applicant's mailing address (if different):	130357	/94	TM	
	the applicant's business telephone and facs 3-2400 / fax: 212-509-3955	imile number:			
	(Telephone)	(Facsimile)			,
Provide 1 Ronan	the name, title, and telephone number of a Cahill Senior Legal & Regulate		212-897-8152		
	(Name) (Title)	, u	elephone Number)		
	the name and address of counsel for the ap el J. Simon	plicant:		<u> </u>	
60 Bro	ad Street, 26th Floor	· · · · · · · · · · · · · · · · · · ·		· · ·	
New Y	′ork, NY 10004				
. Provide	the date applicant's fiscal year ends:	ember 31, 2013		· ·	
	legal status of applicant: Corporation Limited Liability Com);	Partnership	
whe	han a sole proprietor, indicate the date and re incorporated, place where partnership ag (MM/DD/YY): <u>May 30, 2012</u> (b) State	reement was filed or whe	tained its legal sta re applicant entity Delaware Limited Liability Company Ac	was formed):	
(c) Statu	ute under which applicant was organized:		· · · · · · · · · · · · · · · · · · ·		1
EXECUTION Exchange Com pplicant's con worn, deposes pplicant repre	V: The applicant consents that service of any civil acti mission in connection with the applicant's activities m tract employee at the main address, or mailing addres s and says that he/she has executed this form on beh esent that the information and statements contained he mation/filed herewith, all of which are made a part her	ay be given by registered or ce s if different, given in Items 2 an alf of , and with the authority of arein, including exhibits, schedu	rtified mail or confirme d 3. The undersigne , said applicant. The les, or other documer	ed telegram to the d, being first duly unders igned and	
	(MM/DD/YY) Mull Ro	(Name of applican nan Cahill, Legal Associat			
	(Signature) Ind sworn before me this <u>30^H</u> day of <u>Aug</u> (Month) ion expires <u>7/10/14</u> County of <u>Aug</u>	<i>JST , 29/3</i> by -	Ame and Title) Park	<u>'d</u>	
	This page must always be completed in full w Affix notary stamp or	vith original, manual signat seal where applicable.	Notary Pu	blic - State of New Y	erk
			Qualifi	02PA6149278 ed in Nassau County ion Expires 7/70	114



VIA FEDERAL EXPRESS

Chris Grobbel

U.S. Securities and Exchange Commission Division of Market Regulation Office of Market Supervision 100 F Street NE Mail Stop 6628 Washington, DC 20549

RECEIVED 2013 SEP -4 PM 3: 31 SEC / TM

Re: Topaz Exchange, LLC SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the Topaz Exchange, LLC's ("Topaz") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, Topaz issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on Topaz's website:

Options

New Listings: <u>http://ise.com/newlistings</u> Delistings: <u>http://ise.com/delistings</u> Expiration Notices: <u>http://ise.com/expirationnotices</u> Series Additions/Deletions: <u>http://ise.com/serieslist</u> Market Information Circulars: <u>http://ise.com/mics</u>

Index Options

Recent Index Changes: http://ise.com/newlistings

Index Settlement Values: <u>http://www.ise.com/options/products-traded/index-settlement-values</u>

Legal & Regulatory

Regulatory Information Circulars: http://www.ise.com/rics

Rules:

http://www.ise.com/rules

Marketing Material

Press Releases:

http://www.ise.com/press-room/press-releases/ Publications:

http://www.ise.com/publications

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely, Ronan Cahill

Senior Legal & Regulatory Associate

Exhibit M

Approved Members:

Susquehanna Securities 401 City Avenue Bala Cynwyd, PA 19004 Contact: Michael Doherty Primary Market Maker & Competitive Market Maker Primary Market Maker (1 PMM Trading Right) & Competitive Market Maker (8 CMM Trading Rights)