

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.

Washington DC 404

**INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS**

APPLICATION  AMENDMENT

1. State the name of the applicant: NYSE Arca, Inc.

2. Provide the applicant's primary street address (Do not use a P.O. Box):  
100 South Wacker Drive, Suite 200, Chicago, IL 60606

3. Provide the applicant's mailing address (if different):  
11 Wall Street, New York, NY 10005

4. Provide the applicant's business telephone and facsimile number:  
(212) 656-2039 (Telephone) (212) 656-8101 (Facsimile)

5. Provide the name, title, and telephone number of a contact employee:  
Janet McGinness Secretary (212) 656-2039  
(Name) (Title) (Telephone Number)

6. Provide the name and address of counsel for the applicant:  
Janet McGinness  
NYSE Euronext Holdings LLC  
11 Wall Street, New York, NY 10005

7. Provide the date applicant's fiscal year ends: December 31

8. Indicate legal status of applicant:  Corporation  Sole Proprietorship  Partnership  
 Limited Liability Company  Other (specify): \_\_\_\_\_

If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):  
(a) Date (MM/DD/YY): 12/21/72 (b) State/Country of formation: Delaware


(c) Statute under which applicant was organized: Delaware General Corporation Law

**EXECUTION:** The applicant consents that service of any civil action brought by , or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of , and with the authority of , said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.

Date: NOVEMBER 22, 2013 NYSE Arca, Inc  
By: Janet McGinness (Signature) Janet McGinness, Secretary (Name of applicant)  
Subscribed and sworn before me this 22nd day of November, 2013 by Pier Tisdell (Notary Public)

My Commission expires \_\_\_\_\_ County of \_\_\_\_\_ State of \_\_\_\_\_  
*This page must always be completed in full with original, manual signature and notarization. Affix notary stamp or seal where applicable.*

SECURITIES AND EXCHANGE COMMISSION  
**RECEIVED**  
NOV 26 2013  
DIVISION OF TRADING & MARKETS



**13035758**



Janet L. McGinness  
Executive Vice President and  
Corporate Secretary  
Legal and Government Affairs

November 22, 2013

20 Broad Street  
New York, New York 10005  
t 1 212.656.2039 | f 1 212.656.8101  
jmcginness@nyx.com

**VIA OVERNIGHT COURIER**

Securities and Exchange Commission  
Division of Market Regulation  
Office of Market Supervision  
450 Fifth Street, N.W.  
Washington, D.C. 20549

SECURITIES AND EXCHANGE COMMISSION

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DIVISION OF TRADING & MARKETS

SEC

Mail Processing  
Section

NOV 25 2013

Washington DC  
404

Re: NYSE MKT LLC – Amendments to Form 1

Enclosed are an original and two copies of the 2013 amendment to NYSE MKT LLC's Form 1 application pursuant to Rule 6a-2 under the Securities Exchange Act of 1934.

If you have further questions, please do not hesitate to contact me.

Sincerely,

Enclosure

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C.

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Exhibits Accompanying Amendment

to

FORM 1 REGISTRATION STATEMENT

of

NYSE MKT LLC

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NOVEMBER 22, 2013

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EXHIBIT C

ACCOMPANYING AMENDMENT TO FORM 1 REGISTRATION STATEMENT

OF

NYSE ARCA, INC.

NOVEMBER 2013

## EXHIBIT C

Information in respect of each subsidiary or affiliate of NYSE Arca, Inc., affected by the indirect acquisition of the Applicant by ICE Group, including copies of the constitution, articles of incorporation or association with all amendments thereto, and of existing by-laws or rules or instruments corresponding thereto, are kept up to date and are available to the Securities and Exchange Commission and to the public upon request.

EXHIBIT F

ACCOMPANYING AMENDMENT TO FORM 1 REGISTRATION STATEMENT

OF

NYSE ARCA, INC.

NOVEMBER 2013

EXHIBIT F

**No information in Exhibit F was rendered inaccurate or incomplete by the indirect acquisition of the Applicant by ICE Group**

EXHIBIT G

ACCOMPANYING AMENDMENT TO FORM 1 REGISTRATION STATEMENT

OF

NYSE ARCA, INC.

NOVEMBER 2013



EXHIBIT G

**No information in Exhibit G was rendered inaccurate or incomplete by the indirect acquisition of the Applicant by ICE Group**

EXHIBIT H

ACCOMPANYING AMENDMENT TO FORM 1 REGISTRATION STATEMENT

OF

NYSE ARCA, INC.

NOVEMBER 2013

EXHIBIT H

**No information in Exhibit H was rendered inaccurate or incomplete by the indirect acquisition of the Applicant by ICE Group**

EXHIBIT J

ACCOMPANYING AMENDMENT TO FORM 1 REGISTRATION STATEMENT

OF

NYSE ARCA, INC.

NOVEMBER 2013

EXHIBIT J

Lists of the officers, directors, members of all standing committees, or persons performing similar functions:

Directors:

Thomas Farley  
Kurt Eckert  
Steven Juno  
Joseph Kinahan  
Frederic Salerno  
Robert Scott  
Jeffrey Sprecher  
Vincent Tese

Officers:

Thomas Farley (Chief Executive Officer)  
Amy Farnstrom (Vice President)  
Patrick Boyle (Treasurer)  
Peter Lallo (Assistant Treasurer)  
Janet McGinness (Secretary)  
Martha Redding (Assistant Secretary)  
Mary Brienza (Chief Regulatory Officer)

Nominating Committee

Donald Brookshire  
Albert Chang  
John Fitzpatrick  
Peter Goddard  
Ann Grady  
Rory O'Kane

Ethics and Business Conduct Committee:

Donald Brookshire  
Ann Grady  
Patrick Hickey  
Matthew Abraham  
Rupert McConnell  
Alan Thompson  
Danon Robinson

Advisory Committee:

Michael Felty  
Patrick Hickey

EXHIBIT K

ACCOMPANYING AMENDMENT TO FORM 1 REGISTRATION STATEMENT

OF

NYSE ARCA, INC.

NOVEMBER 2013

EXHIBIT K

**No information in Exhibit K was rendered inaccurate or incomplete by the indirect acquisition of the Applicant by ICE Group**

EXHIBIT M

ACCOMPANYING AMENDMENT TO FORM 1 REGISTRATION STATEMENT

OF

NYSE ARCA, INC.

NOVEMBER 2013



EXHIBIT M

**No information in Exhibit M was rendered inaccurate or incomplete by the indirect acquisition of the Applicant by ICE Group**