Form 1 Page 1 Execution Page

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT

Date filed (MM/DD/YY):

OFFICIAL USE ONLY

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.

would violate the federal securities laws and may result in disciplinary, administrative, or criminal action. INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS ■ APPLICATION / AMENDMEN 1. State the name of the applicant: International Securities Exchange, LLC Provide the applicant's primary street address (Do not use a P.O. Box): 60 Broad St. 26th Floor, New York, NY 10004 3. Provide the applicant's mailing address (if different): N/A 4. Provide the applicant's business telephone and facsimile number: 212-943-2400 212-509-3955 (Telephone) (Facsimile) 5. Provide the name, title, and telephone number of a contact employee: Ronan Cahili, Senior Legal & Regulatory Associate, 212-897-8152 (Title) (Name) (Telephone Number) 6. Provide the name and address of counsel for the applicant: Michael J. Simon 60 Broad Street, 26th Floor New York, NY 10004 7. Provide the date applicant's fiscal year ends: December 31, 2012 8. Indicate legal status of applicant: ☐ Corporation ☐ Sole Proprietorship □ Partnership Limited Liability Company ☐ Other (specify): _ If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed): (a) Date (MM/DD/YY): 05/30/12 . (b) State/Country of formation: Delaware Delaware Limited Liability Company Act. 6 Del. C section 18-101 et. sec (c) Statute under which applicant was organized: EXECUTION: The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete. International Securities Exchange, LLC Date: (MM/DD/YY) (Name of applicant) Ronan Cahi By: (Printed Name and Title) (Signature) day of November Subscribed and sworn before me this 🚄 (Month) (Year) (Notary Public) County of Na 554 W My Commission expires This page must always be completed in full with original, manual signature and Affix notary stamp or seal where applicable. SAMIR PAIEL



International Securities Exchange.

November 26, 2013

VIA FEDERAL EXPRESS

Chris Grobbel
U.S. Securities and Exchange Commission
Division of Market Regulation
Office of Market Supervision
100 F Street NE
Mail Stop 6628
Washington, DC 20549

Re:

Topaz Exchange, LLC

SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the Topaz Exchange, LLC's ("Topaz") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, Topaz issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on Topaz's website:

Options

New Listings:

http://ise.com/newlistings

Delistings:

http://ise.com/delistings

Expiration Notices:

http://ise.com/expirationnotices

Series Additions/Deletions:

http://ise.com/serieslist

Market Information Circulars:

http://ise.com/mics

Index Options

Recent Index Changes:

http://ise.com/newlistings

Index Settlement Values:

http://www.ise.com/options/products-traded/index-settlement-values

Legal & Regulatory

Regulatory Information Circulars:

http://www.ise.com/rics

Rules:

http://www.ise.com/rules

Marketing Material

Press Releases:

http://www.ise.com/press-room/press-releases/

Publications:

http://www.ise.com/publications

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely,

Ronan Cahill

Pon alih

Senior Legal & Regulatory Associate

Exhibit M

Approved Members:

Cutler Group, LP 101 Montgomery Street, Suite 700 San Francisco, CA 90156 Contact: Doug Patterson (415) 293-3956 Electronic Access Member Electronic Access Member