OMB APPROVAL Submit 1 Original For Internal Use Only OMB Number: 3235-0504 and 9 Copies Sec File No. 9-Expires: August 31, 2010 UNITED STATES Estimated average burden hours per response.....3.60 SECURITIES AND EXCHANGE COMMISSION Mail Processing Washington, D.C. 20549 Section FORM 19b-4(e) DEC 232013 Information Required of a Self-Regulatory Organization Listing and Tradings New Deviative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Acting 1914 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM** Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: BATS Y-Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, .... 2. See attached. **Class of New Derivative Securities Product:** 3. See attached. 4. Name of Underlying Instrument: See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Act Securities Exchange Act of 1934 Section 195-4 Title: VP, Associate General Counsel Rule Telephone Number: Public (913) 815-7154 DEC 2 3 2013 Availability: Manual Signature of Official Responsible for Form: Date: December 20, 2013 SEC 2449 (6-01)

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC. COMMENCING ON DECEMBER 17, 2013

<u>(6)</u> <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	<u>(5) Broad</u> <u>or</u> <u>Narrow</u>
QYLD	Trust	Investment Company Units	CBOE NASDAQ-100 Buy Write Index	VariousU.S. Markets	Broad



SEC Mail Processing Section DEC 2-3 2013 Washington DC 404

December 20, 2013

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

## RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on BATS Y-Exchange on December 17, 2013. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel 913.815.7154

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