71-169434 OMB APPROVAL Submit 1 Original For Internal Use Only 3235-0504 OMB Number: and 9 Copies Sec File No. 9-Expires: August 31, 2010 Estimated average burden hours perfectionse......3.60 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Mail Processing Washington, D.C. 20549 Section FORM 19b-4(e) DEC 232013 Information Required of a Self-Regulatory Organization Listing and Tracing Securities Exchange Action 101 (14) Under the Securities Exchange Action 101 (14) Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM** Part I **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. BATS Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. Class of New Derivative Securities Product: 3. See attached. Name of Underlying Instrument: 4. See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Socurraes Exchange Act or 1994 Act **Telephone Number:** (913) 815-7154 19h.1 Section Manual Signature of Official Responsible for Form: 19b-4(c) Rule Public DEC 2 3 2013 Date: December 20, 2013 Availability:

SEC 2449 (6-01)

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON DECEMBER 19, 2013

				(7) Market(s) upon	
<u>(6)</u>	(2) Type of			Which Securities	(5) Broad
<u>Ticker</u>	<u>lssuer of</u>	Issuer of (4) Name of Underlying		Comprising Underlying	<u>or</u>
<u>Symbol</u>	<u>NDSP</u>	(3) Class of NDSP	<u>Instrument</u>	Instrument Trades	<u>Narrow</u>
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CEFL	Corporation	Index Linked Notes	ISE High Income Index	Various U.S. Markets	Broad
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		Investment Company	Bloomberg Dollar Total Return		
USDU	Trust	Units	Index	Various World Markets	Broad
	Turet	Investment Company	Barclays US Aggregate	Various U.S. Markets	Broad
AGND	Trust	Units	Negative Five Duration Index	various 0.5. Markets	Broad
			Developed U.O. Assessments Zone	· ·	
	Truot	Investment Company Units	Barclays US Aggregate Zero Duration Index	Various U.S. Markets	Broad
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			Year US High Yield		
	l	Investment Company	Constrained Zero Duration		
HYZD	Trust	Units	Index	Various U.S. Markets	Broad
	l				
		Investment Company	WisdomTree Japan Interest		
JGBB	Trust	Units	Rate Strategy Index	Various World Markets	Broad



December 20, 2013

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding seven (7) derivative securities products that commenced trading on BATS Exchange on December 19, 2013. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

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Anders Franzon VP, Associate General Counsel 913.815.7154

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TEL. 913.815.7000 | FAX. 913.815.7119 | 8050 MARSHALL DRIVE, SUITE 120 | LENEXA, KS 66214-1585 | BATSTRADING.COM

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