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UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 Only Sec File No. 9 RECEIVED FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listi Maghillanding a New 19h-4(a) Under the Securities Listing Act of 1934 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities READ ALL INSTRUCTIONS PRIOR TO COMPLETING FOR **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: **CBOE NASDAQ-100 BuyWrite Index** 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-Based** 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ 8. Settlement Methodology of New Derivatives Product: Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: William Slattery Vice President Telephone Number:

Manual Signature of Official Responsible for Form:

Act

Securities Exchange Act of 1934

Section Rule

19b-4 195-4(c)

Public Availability:

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