For Internal USO Only SeRFIL No. 9-2013 DEC 19 AM 7: 27 SEC / MR

Submit 1 Original and 9 Copies

## **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0504

August (2013) erage burden Expires:

Estimated average burden hours per res Mail Processing Section

DEC 182013

Washington DC 404

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

	READ ALL INSTRUCTION	S PRIOR TO	COMPLETING	<b>FORM</b>	E TRANSPORTER CONTRACTOR CONTRACT	
Part I	Initial Listing Report					
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product:  National Stock Exchange, Inc.					
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):					
	Trust			, <u></u>	<u></u>	
3.	Class of New Derivative Securities Product: Equity					
4.	Name of Underlying Instrument:					
	ETRACS Monthly Pay 2xLeverage	d Closed-	End Fund	ETN		
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  Broad-based					
6.	Ticker Symbol(s) of New Derivative Securities Pro	duct:				
	CEFL					
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Domestic Stock Exchange					
8.	Settlement Methodology of New Derivative Securities Product:					
	Cash					
9.	Position Limits of New Derivative Securities Product (if applicable):					
Part II	I	Execution				
	The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.					
Name o	f Official Responsible for Form: James Buckley		-			
Title:						
	Senior Regulatory Counsel		Act	Securities	Exchange Act of 1934	
Telepho	one Number:		Section	195-4	ang arts troup dan sandhag com art a spar tagtapan de septembra pada sa na mana bey an air an air an an an air	
	201-499-3698		Rule	195-200	angegot i selempe ag mende de servicio de como de como que en mandra de la como de com	
	Signature of Official Responsible for Form:		Public Availability:	DEC 1	8 2013	
Date:	December 16, 2013					
	// December to, 2013					



## RECEIVED

2013 DEC 19 AM 7: 25

SEC / MR

SEC Mail Processing Section DEC 182013

Washington DC 404

December 16, 2013

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street N.E.
Washington, DC 20549

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) EMBB
- 2) CEFL
- 3) QYLD
- 4) ICSH

In addition, please find one original and nine copies of completed Form 19b-4(e) for security FUTY, which was accidentally missing from the last package.

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,

James G. Buckley

Senior Regulatory Counsel

Act Securities Exchange Act of 1934

Section 1964 Frie

Public
Availability:

DEC 18 2013

Enclosures .....