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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

OMB APPROVA

OMB Number:

Expires: August 31, 2013 Estimated average burden hours acceptance to the state of the state of

Mail Processing Section

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JEC - 6 2013 Information Required of a Self-Regulatory Organization Listing and The Spin Levi Perivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchanges

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: National Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. 3. Class of New Derivative Securities Product: Equity 4. Name of Underlying Instrument: iShares 0-5 Year Investment Grade Corporate Bond ETF If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based 5. Ticker Symbol(s) of New Derivative Securities Product: 6. SLOD Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Domestic Stock Exchange 7. Settlement Methodology of New Derivative Securities Product: 8. Cash Position Limits of New Derivative Securities Product (if applicable): 9. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: James Buckley Act Securities Exchange Act of 1934 Title: Senior Regulatory Counsel Section Telephone Number: Rule 201-499-3698 Public Manual Signature of Official Responsible for Form: DEC 0 6 2013 Availability: Date: December 4,



SEC Mail Processing Section

DEC 6-2013

Washington DC 404

December 4, 2013

Ms. Gail Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, DC 20549

SECURITIES AND EXCHANGE COMMISSION

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DIVISION OF TRADING & MARKETS

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) IPO
- 2) SLQD
- 3) DXGE
- 4) SHYG
- 5) FIBG
- 6) ROBO
- 7) FTGC
- 8) FDIS
- 9) FSTA
- 10) FENY
- 11) FNCL
- 12) FHLC
- 13) FIDU
- 14) FTEC
- 15) FMAT
- 16) FCOM
- 17) FUTY
- 18) VIDI
- 19) ENFR
- 20) FTSD
- 21) GQRE

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19-2 Commence of the second
Public Availability:	DEC 0 6 2013

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- 22) ASHR
- 23) DXKW
- 24) IGHG
- 25) EMFM
- 26) PGAL
- 27) DVHL
- 28) HFIN
- 29) EMSH
- 30) FYLD

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,

James G. Buckley Senior Regulatory Counsel

**Enclosures**