Mail Processing Jumber: Submit 1 Original For Internal Use Only SECURITIES AND EXCHANGE COMMISSION 3235-0504 and 9 Copies Sec File No. 9-Section Expires: August 31, 2013 **UNITED STATES** stimated average burden RECEIVED ours per response. 3.60 SECURITIES AND EXCHANGE COMMIS Wasnington DEC - 6.5013 Washington, D.C. 20549 FORM 19b-4(e)

[INFORMATION Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: National Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. 3. Class of New Derivative Securities Product: Equity Name of Underlying Instrument: 4. iShares 0-5 Year High Yield Corporate Bond ETF If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based 6. Ticker Symbol(s) of New Derivative Securities Product: SHYG Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Domestic Stock Exchange Settlement Methodology of New Derivative Securities Product: 9. Position Limits of New Derivative Securities Product (if applicable): Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: James Buckley

Act

Section

Public Availability:

Telephone Number:

Title:

Date:

Senior Regulatory Counsel

201-499-3698

Manual Signature of Official Responsible for Form:

December 4, 2013



SEC Mail Processing Section

DEC 6-2013

Washington DC 404

December 4, 2013

Ms. Gail Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, DC 20549

SECURITIES AND EXCHANGE COMMISSION

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DIVISION OF TRADING & MARKETS

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) IPO
- 2) SLQD
- 3) DXGE
- 4) SHYG
- 5) FIBG
- 6) ROBO
- 7) FTGC
- 8) FDIS
- 9) FSTA
- 10) FENY
- 11) FNCL
- 12) FHLC
- 13) FIDU
- 14) FTEC
- 15) **FMAT**
- 16) FCOM
- 17) FUTY
- 18) VIDI
- 19) ENFR
- 20) FTSD
- 21) GQRE

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19b-4
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22) ASHR

23) DXKW

24) IGHG

25) EMFM

26) **PGAL**

27) DVHL

28) HFIN

29) EMSH

30) FYLD

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,

James G. Buckley

Senior Regulatory Counsel

Enclosures