1 5 OMB APPROVA For Internal Use Only Submit 1 Original SECURITIES AND EICHANGE COMMISSION OMB Number: 3235-0504 and 9 Copies Expires: SEGust 31, 2013 UNITED STATES RECEIVED SECURITIES AND EXCHANGE COMMISSION UEC - 6:2013 Section Washington, D.C. 20549  $\frac{1}{1000} = \frac{1}{1000} \frac{1}{10$ DEC 6-2013 Washington DC Perivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM** Part I **Initial Listing Report** 13035688 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: National Stock Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust 3. Class of New Derivative Securities Product: Equity 4. Name of Underlying Instrument: First Trust Global Tactical Commodity Strategy Fund If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based 5. 6. Ticker Symbol(s) of New Derivative Securities Product: FTGC Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Domestic Stock Exchange Settlement Methodology of New Derivative Securities Product: 8. Cash Position Limits of New Derivative Securities Product (if applicable): 9. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: James Buckley Title: Senior Regulatory Counsel Telephone Number: Act Securifics Exchange Act of 1934 201-499-3698 2.Con Manual Signature of Official Responsible for Form: 19h-4 L. C. I. -((e) ina Public Date: DEC 66 2613 Availability: December 4, 2013



SEC Mall Processing Section DEC 6-2013 Washington DC 404

December 4, 2013

Ms. Gail Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, DC 20549

SECURITIES AND EXCHANGE COMMISSION

LIEU - 6 2013 DIVISION OF TRADING & MARKETS

Re: <u>Securities Exchange Act Forms 19b-4(e)</u>

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

1)	IPO
2)	SLQD
3)	DXGE
4)	SHYG
5)	FIBG
6)	ROBO
7)	FTGC
8)	FDIS
9)	FSTA
10)	FENY
11)	FNCL
12)	FHLC
13)	FIDU
14)	FTEC
15)	FMAT
16)	FCOM
17)	FUTY
18)	VIDI
19)	ENFR
20)	FTSD
21)	GQRE

Securities Exchange Act of 1934
196-4
19-210
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101 Hudson Street, Suite 1200 Jersey City, NJ 07302 <sup>-</sup> www.nsx.com Phone 201.499.3700 Fax 201.499.0174 Ms. Gail Jackson December 4, 2013 Page 2

22) ASHR
23) DXKW
24) IGHG
25) EMFM
26) PGAL
27) DVHL
28) HFIN
29) EMSH
30) FYLD

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours, 1Such tam? James G. Buckley Senior Regulatory Counsel

Enclosures