

091-1689181

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL  
SEC Number: 3235-0504  
August 31, 2013  
Estimated average burden  
hours per response..... 3.60

Mail Processing  
Section

DEC 6 - 2013

Washington DC  
404

SECURITIES AND EXCHANGE COMMISSION  
RECEIVED  
DEC - 6 2013  
DIVISION OF TRADING & MARKETS

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Equity
- Name of Underlying Instrument:  
Horizons S&P Financial Select Sector Covered Call ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:  
HFIN
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange
- Settlement Methodology of New Derivative Securities Product:  
Cash
- Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
James Buckley

Title:  
Senior Regulatory Counsel

Telephone Number:  
201-499-3698

Manual Signature of Official Responsible for Form:

Date:  
December 4, 2013

SECURITIES AND EXCHANGE COMMISSION  
RECEIVED  
DEC 6 2013  
Public  
Availability: DEC 06 2013



SEC  
Mail Processing  
Section

DEC 6 - 2013

Washington DC  
404

December 4, 2013

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street N.E.  
Washington, DC 20549

SECURITIES AND EXCHANGE COMMISSION  
RECEIVED  
DEC - 6 2013  
DIVISION OF TRADING & MARKETS

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) IPO
- 2) SLQD
- 3) DXGE
- 4) SHYG
- 5) FIBG
- 6) ROBO
- 7) FTGC
- 8) FDIS
- 9) FSTA
- 10) FENY
- 11) FNCL
- 12) FHLC
- 13) FIDU
- 14) FTEC
- 15) FMAT
- 16) FCOM
- 17) FUYT
- 18) VIDI
- 19) ENFR
- 20) FTSD
- 21) GQRE

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	DEC 06 2013

Ms. Gail Jackson

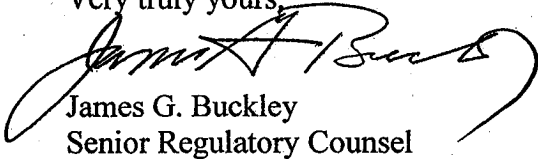
December 4, 2013

Page 2

- 22) ASHR
- 23) DXKW
- 24) IGHG
- 25) EMFM
- 26) PGAL
- 27) DVHL
- 28) HFIN
- 29) EMSH
- 30) FYLD

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,



James G. Buckley  
Senior Regulatory Counsel

Enclosures