

091-16698

For Internal Use  
Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

SEC OMB APPROVAL

Mail Processing Section  
Number: 3235-0504  
Expires: July 31, 2016  
Estimated average burden  
hours per response.....3.60

SECURITIES AND EXCHANGE COMMISSION  
**RECEIVED**  
DEC - 3 2013  
DIVISION OF TRADING & MARKETS

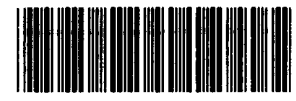
UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

DEC 3 2013  
Washington DC  
404

**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



13035635

**Part I Initial Listing Report**

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**The NASDAQ Stock Market LLC**
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Corporation**
- Class of New Derivative Securities Product:  
**Exchange Traded Note**
- Name of Underlying Instrument:  
**S&P 500 VIX Short-Term Futures Index ER**
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad-Based**
- Ticker Symbol(s) of New Derivative Securities Product:  
**XIV**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**CBOE**
- Settlement Methodology of New Derivatives Product:  
**Regular way trades settle on T + 3 (cash settled)**
- Position Limits of New Derivative Securities Product (if applicable):  
**N/A**

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**William Slattery**

Title:  
**Vice President**

Telephone Number:  
**1-301-978-8088**

Manual Signature of Official Responsible for Form:  
*William Slattery*

Date: **December 2, 2013**

SEC  
19b-4  
Public Availability: **DEC 03 2013**