

091-16677jm

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

OMB APPROVAL  
OMB Number: 3235-0504  
Expires: August 31, 2013  
Estimated average burden  
hours per response: 3.60

SEC  
Mail Processing  
Section

NOV 19 2013

Washington, DC  
404

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Ownership of the Trust
- Name of Underlying Instrument:  
ETRAC Monthly 2X Leveraged Diversified High Income
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product:  
DVHL
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
- Settlement Methodology of New Derivative Securities Product:  
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Steven I. Givot

Title:  
Vice President, Strategy and Product Management

Telephone Number:  
(312) 663-2261

Manual Signature of Official Responsible for Form:

Date:  
November 15, 2013

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4
Public Availability:	NOV 19 2013



**Chicago Stock Exchange**  
November 15, 2013

**SEC**  
**Mail Processing**  
**Section**  
NOV 19 2013  
Washington DC  
404

**By UPS**

Ms. Gayle S. Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

**Re: Forms 19b-4(e) for various exchange traded products**

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following exchange traded products:

DVHL	ETRAC Monthly 2X Leveraged Diversified High Income ETN
HFIN	Horizons S&P Financial Select Sector Covered Call ETF
PGAL	Global X FTSE Portugal 20 ETF

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Steven I. Givot  
Vice President – Strategy and Product Management

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	NOV 19 2013