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SECURITIES AND EXCHANGE COMMISSION  
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DIVISION OF TRADING & MARKETS

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)

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Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934  
Washington DC

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM 404**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
ALPS Advisors, Inc.

3. Class of New Derivative Securities Product:  
Investment Company Unit

4. Name of Underlying Instrument:  
  
PGZ: Commercial Mortgage-Backed Securities & Real Estate Investment Trusts

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:  
The 1 index referred to in item 4 above is N/A

6. Ticker Symbol(s) of New Derivative Securities Product:  
PGZ

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
  
PGZ: AMEX, ARCA, NASDAQ, NYSE

*(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)*

8. Settlement Methodology of New Derivative Securities Product:  
T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Jeffrey S. Rosenstock

Title: General Counsel

Telephone Number: 201-742-1825

Manual Signature of Official Responsible for Form: *Jeffrey S. Rosenstock*

Date: November 20, 2013

Securities Exchange Act of 1934

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