| | | 091-165334 |
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| For Internal Use Only Sec File No. 9- | Submit 1 Original and 9 Copies | OMB APPROVAL SEC MB Number: 3235-0504 Expires: August 31, 2010 |
| | UNITED STATES SECURITIES AND EXCHANGE COMMISSI Washington, D.C. 20549 | ION Sectionurs per response3.60 OCT 3 1 2013 |
| | FORM 19b-4(e) | Washington DC |
| Info Derivative | ormation Required of a Self-Regulatory Organization L Securities Product Pursuant to Rule 19b-4(e) Under the | listing and Tracking a New |
| | READ ALL INSTRUCTIONS PRIOR TO COM | |
| Part I | Initial Listing Report | |
| 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: 13035525 NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges) 13035525 | | |
| Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Corporation | | |
| 3. Class of New Derivative Index Linked Note | Securities Product: | |
| 4. Name of Underlying Ins Russell 1000 Growth | trument: Index Total Return Index | |
| 5. If Underlying Instrumen Broad-based | t is an Index, State Whether it is Broad-Based or Narrow-E | Based: |
| 6. Ticker Symbol(s) of Nev FIBG | w Derivative Securities Product: | |
| 7. Market or Markets Upon Listed on: NASDAQ | n Which Securities Comprising Underlying Instrument Tra- Stock Market LLC, NYSE | des: |
| 8. Position Limits of New Regular way trades s | Derivative Securities Product (if applicable): settle on T + 3 (cash settled) | |
| 9. Position Limits of New N/A | Derivative Securities Product (if applicable): | |
| Part II | Execution | |
| The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. | | |
| Name of Official Responsib Joan Conley | le for Form: | |
| Title: Secretary | | |
| Telephone Number: 301-978-8735 | | (|
| Manual Signature of Official Responsible for Form: | | |
| Date: October 24, 2013 | Act | Securities Exchange Act of 1934 |
| SEC 2449 (6-01) | Sectio Rule | n 195-4 1922-4(e) |
| | Bublic Avail: | e ablity: DCT 3 1 2013 |

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