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SECURITIES AND EXCHANGE COMMISSION
Washington

OCT 28 2013

OMB Number: 3235-0504 Expires: **SEC** August 31, 2010

RECEIVED OCT 29 2013 FORM 19b-4(e)

Washington DC

Information Regulatory Organization Listing and Trading a N494 Derivative Seturities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 13035518 Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. BATS Y-Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. 3. Class of New Derivative Securities Product: See attached. Name of Underlying Instrument: 4. See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form: Act Section Date: October 24, 2013 Rule OCT 2 9 2013 Public Availability:

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC. COMMENCING ON OCTOBER 21, 2013

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October 24, 2013

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549 SECURITIES AND EXCHANGE COMMISSION **RECEIVED**

OCT 292013

DIVISION OF TRADING & MARKETS

SEC Mail Processing Section

OCT 28 2013

Washington DC 404

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding ten (10) derivative securities products that commenced trading on BATS Y-Exchange on October 21, 2013. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

Act	Securities Exchange Act of 1934		
Section Rule	1964 196-(10)		
Public Availability:	OCT 2 9 2013		