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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Mail Processing
Section

OCT 31 2013

FORM 19b-4(e)

Washington DC
404

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



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Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Y-Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: October 25, 2013
39

Act	Securities Exchange Act of 1934
Section	19b-4
Public Availability	OCT 31 2013

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 COMMENCING ON OCTOBER 25, 2013

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
FCOM	Trust	Investment Company Units	MSCI USA IMI Telecommunication Services 25/50 Index	Various U.S. Markets	Broad
FDIS	Trust	Investment Company Units	MSCI USA IMI Consumer Discretionary Index	Various U.S. Markets	Broad
FENY	Trust	Investment Company Units	MSCI USA IMI Energy Index	Various U.S. Markets	Broad
FHLC	Trust	Investment Company Units	MSCI USA IMI Health Care Index	Various U.S. Markets	Broad
FIDU	Trust	Investment Company Units	MSCI USA IMI Industrials Index	Various U.S. Markets	Broad
FMAT	Trust	Investment Company Units	MSCI USA IMI Materials Index	Various U.S. Markets	Broad
FNCL	Trust	Investment Company Units	MSCI USA IMI Financials Index	Various U.S. Markets	Broad
FSTA	Trust	Investment Company Units	MSCI USA IMI Consumer Staples Index	Various U.S. Markets	Broad
FTEC	Trust	Investment Company Units	MSCI USA IMI Information Technology Index	Various U.S. Markets	Broad
FUTY	Trust	Investment Company Units	MSCI USA IMI Utilities Index	Various U.S. Markets	Broad



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October 30, 2013

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding ten (10) derivative securities products that commenced trading on BATS Y-Exchange on October 25, 2013. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 31 2013