

091-16500

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549  
**FORM 19b-4(e)**

OCT 24 2013  
Washington DC

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
NYSE Arca, Inc.



2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Open-end Management Investment Company

3. Class of New Derivative Securities Product:  
Investment Company Units

4. Name of Underlying Instrument:  
Markit iBoxx USD Liquid Investment Grade 0-5 Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad Based

6. Ticker Symbol(s) of New Derivative Securities Product:  
SLQD

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Over-the-Counter

8. Settlement Methodology of New Derivative Securities Product:  
Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):  
Not applicable.

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Janet L. McGinness**

Title:  
**Corporate Secretary**

Telephone Number:  
**(212) 656-2039**

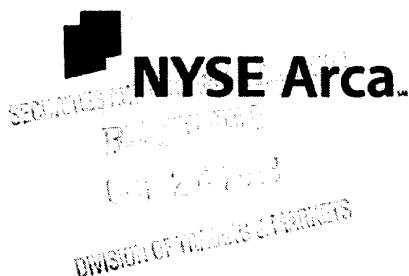
Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Manual Signature of Official Responsible for Form:  
*Janet McGinness*

Public Availability: **OCT 24 2013**

October 17, 2013  
SEC 2449 (1/99)

Janet McGinness  
Corporate Secretary



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OCT 24 2013

Washington DC  
404

**Via Overnight Mail**

October 17, 2013

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building 1  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

**Re: iShares 0-5 Year Investment Grade Corporate Bond ETF  
iShares 0-5 Year High Yield Corporate Bond ETF**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

- iShares 0-5 Year Investment Grade Corporate Bond ETF SLQD
- iShares 0-5 Year High Yield Corporate Bond ETF SHYG

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 24 2013