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SECURITIES AND EXCHANGE COMMISSION  
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OCT 25 2013  
DIVISION OF TRADING & MARKETS

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)

**SEC**  
Mail Processing  
Section

OCT 25 2013

Washington DC

Information Required of a Self-Regulatory Organization Listing and Trading  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FOR**

**Part I**

**Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corpo

Northern Trust Investments, Inc.

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

NFRA: STOXX Global Broad Infrastructure Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

NFRA

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NFRA: United States, Japan, United Kingdom, Canada

*(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)*

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

**Part II**

**Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Title:

Telephone Number:

Manual Signature of Official Responsible for Form:

Date:

Jeffrey S. Rosenstock  
General Counsel  
Securities Exchange Act of 1934

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Public

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