OMB APPROVAL

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

OCT 1 1 2013 Washington DC

OMB Number:

Stores:

Information Required of a Self-Regulatory Organization Listing and Tradida New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. National Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Trust Class of New Derivative Securities Product: 3. Equity 4. Name of Underlying Instrument: SPDR SSqA Ultra Short Term Bond ETF If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Broad-based Ticker Symbol(s) of New Derivative Securities Product: 6. ULST Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Domestic Stock Exchange Settlement Methodology of New Derivative Securities Product: 8. Cash Position Limits of New Derivative Securities Product (if applicable): 9. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: James Buckley Securities Exchange Act of 1934 Title: Ast Senior Regulatory Counsel 19b-2 Section Telephone Number: 195.40 Rule 201-499-3698 Manual Signature of Official Responsible for Form: OCT 1 1 2013 Public

ul Date: October 10, 2013



SEC Mail Processing Section UCT 1 1 2013 Wasnington DC

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October 10, 2013

Ms. Gail Jackson **Division of Trading and Markets** Securities and Exchange Commission 100 F Street N.E. Washington, DC 20549

Re: <u>Securities Exchange Act Forms 19b-4(e)</u>

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

1)	YDIV
2)	FIEU
3)	DVHI
4)	BSJJ
5)	BSJK
6)	MLPC
7)	NEAR
8)	EMGC
9)	RDIV
10)	DBUK
11)	DBEU
12)	DBAP
13)	JNUG
14)	JDST
15)	RIGS
16)	FIEG
17)	NFRA
	CHNA
•	NOBL
20)	ULST

Act	Securitius Lucium; p Act of 1934
Secilon Rule	15.5-A 15.5-A (0)
Public Availability:	OCT 1 1 2013

101 Hudson Street, Suite 1200 Jersey City, NJ 07302 www.nsx.com

Phone 201,499,3700 Fax 201.499.0174

Ms. Gail Jackson October 10, 2013 Page 2

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours, nc James G. Buckley Senior Regulatory Counsel

Enclosures