OMB APPROVA Submit 1 Original For Internal Use Only OMB Number: 3235-0504 and 9 Copies Sec File No. 9-August 31, 2013 Expires: **UNITED STATES** Estimated average burden hours per cereanse.....3.60 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Mail Processing Section FORM 19b-4(e) OCT 112013 Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act glop DC 404 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM** Part I **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. 13035441 National Stock Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust 3. Class of New Derivative Securities Product: Equity Name of Underlying Instrument: 4. PowerShares China A-Share Portfolio If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based 5. 6. Ticker Symbol(s) of New Derivative Securities Product: CHNA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Domestic Stock Exchange 8. Settlement Methodology of New Derivative Securities Product: Cash Position Limits of New Derivative Securities Product (if applicable): 9. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: James Buckley Title: Senior Regulatory Counsel Act Southers England are of 1934 **Telephone Number:** Section 196-1 201-499-3698 Rule Manual Signature of Official Responsible for Form: Public OCT 1 1 2013 **Availability:** Date: October 10, 2013



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October 10, 2013

Ms. Gail Jackson **Division of Trading and Markets** Securities and Exchange Commission 100 F Street N.E. Washington, DC 20549

## Re: <u>Securities Exchange Act Forms 19b-4(e)</u>

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

1)	YDIV
2)	FIEU
3)	DVHI
4)	BSJJ
5)	BSJK
6)	MLPC
7)	NEAR
	EMGC
9)	RDIV
10)	DBUK
$1\dot{1}$	DBEU
12)	DBAP
13)	JNUG
14)	JDST
	RIGS
-	FIEG
17)	NFRA
18)	CHNA
	NOBL
20)	
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Act	Securities Lastango Act of 1934
Secilon Rule	15.5-A 15.5-A (C)
Public Availability:	OCT 1 1 2013

101 Hudson Street, Suite 1200 Jersey City, NJ 07302 www.nsx.com

Phone 201.499.3700 Fax 201.499.0174

Ms. Gail Jackson October 10, 2013 Page 2

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours, m non James G. Buckley Senior Regulatory Counsel

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Enclosures