For Internal Use Only Sec File No. 9-

Submit 1 Original and 9 Copies

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0504
Expect August 31, 2013
Mainted average burden
nours per response.....3.60
Section

OCT 1 1 2013

FORM 19b-4(e)

Washington DC Trading404ew

Information Required of a Self-Regulatory Organization Listing and Trading 102 ew

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. National Stock Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 3. Class of New Derivative Securities Product: Equity Name of Underlying Instrument: 4. ETRACS Diversified High Income ETN If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Broad-based 6. Ticker Symbol(s) of New Derivative Securities Product: DVHI 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Domestic Stock Exchange Settlement Methodology of New Derivative Securities Product: 8. Cash 9. Position Limits of New Derivative Securities Product (if applicable): Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: James Buckley Title: Senior Regulatory Counsel Act Securities Exchange Act of 1934 Telephone Number: 201-499-3698 Section 195-4 Rule Manual Signature of Official Responsible for Form: 195-4(0) Public OCT 1 1 2013 Date: Availability: October 10, 2013



SEC
Mail Processing
Section
(ICT 1 1 2013
Wasnington DC

404

October 10, 2013

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street N.E.
Washington, DC 20549

Re: Securities Exchange Act Forms 19b-4(e)

## Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) YDIV
- 2) FIEU
- 3) DVHI
- 4) BSJJ
- 5) BSJK
- 6) MLPC
- 7) NEAR
- 8) EMGC
- 9) RDIV
- 10) DBUK
- 11) DBEU
- 12) **DBAP**
- **13) JNUG**
- 14) JDST
- 15) RIGS
- 16) FIEG
- 17) NFRA
- 18) CHNA
- 19) NOBL
- **20) ULST**

Act	Securities Landinage Act of 1934
Section Rule	1954 19740)
Public Availability:	OCT 1 1 2013

Ms. Gail Jackson October 10, 2013 Page 2

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,

James G. Buckley Senior Regulatory Counsel

**Enclosures**