

091-16419jm

SEC

For Internal Use Only  
Sec File No. [redacted]  
RECEIVED  
OCT - 8 2013  
DIVISION OF TRADING & MARKETS

Submit 1 Original and 9 Copies

Mail Processing Section

OCT 7 - 2013

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington DC 404

OMB APPROVAL  
OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden hours per response.....3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report



- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)**
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open End Management Investment Company**
- Class of New Derivative Securities Product:  
**Exchange Traded Fund**
- Name of Underlying Instrument:  
**NASDAQ BulletShares USD High Yield Corporate Bond 2019 Index**
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad-based**
- Ticker Symbol(s) of New Derivative Securities Product:  
**BSJJ**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Listed on: NYSE, OTC**
- Position Limits of New Derivative Securities Product (if applicable):  
**Regular way trades settle on T + 3 (cash settled)**
- Position Limits of New Derivative Securities Product (if applicable):  
**NA**

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Joan Conley**  
Title:  
**Secretary**  
Telephone Number:  
**301-978-8735**  
Manual Signature of Official Responsible for Form: *[Signature]*

Date: **September 24, 2013**

Act **Securities Exchange Act of 1934**  
Section **19b-4**  
Rule **19b-4(e)**  
Public Availability: **OCT 07 2013**