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**UNITED STATES** 

Washington, D.C. 20549

OMB APPROVAL

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Section

FORM 19b-4(e)

NOV 18 2013

Information Required of a Self-Regulatory Organization Listing the Dew Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Faghange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FO

# Part I

## **Initial Listing Report**



1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

Alerian Energy Infrastructure Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based** 

6. Ticker Symbol(s) of New Derivative Securities Product:

**ENFR** 

- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE, Toronto
- 8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable): N/A

#### Part II

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Joan Conley

Title:

Secretary

Telephone Number:

301-978-8735

Manual Signature of Official Responsible for Form:

Date: November 04, 2013

Securities Exchange Act of 1934

Section Rule

Public

Availability:

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19b-4

19b-4(e)

SEC 2449 (6-01)