SECURITIES AND EXCHANGE COMMISSION For Internal Use UNITED STATES

UNITED STATES

WASHING COMMISSION

Washington, D.C. 20549

ONUSUNOF TRADING & MARKET COMMISSION

UNITED STATES Only Sec File No. 9-

Submit 1 Original

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per resource.....3.60

Mail Processing Section

NOV 18 2013

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Action DC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

#### Part I

## **Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)



2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc. **Open End Management Investment Company** 

3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

WisdomTree Korea Hedged Equity Growth Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

**Broad-based** 

6. Ticker Symbol(s) of New Derivative Securities Product:

**DXKW** 

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: Interbank Market, Korea Stock Exchange

8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):

**N/A** 

# Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

### Joan Conley

Title:

#### Secretary

Telephone Number:

301-978-8735

Manual Signature of Official Responsible for Form:

Date: November 08, 2013

Section

Securities Exchange Act of 1934

Rule

19b-4 105-460

SEC 2449 (6-01)

Public Availability:

NOV 1 9 2013