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For Internal Use SECURITIES AND EXCHANGE COMMISSION Submit 1 Original OMB APPROVAL Only and 9 Copies OMB Number: 3235-0504 Sec File No. 9-RECEIVED Expires: August 31, 2010 Estimated apprage burden hours per response.....3.60 UNITED STATES MON 1 3 5013 hours per response.....3.60 SECURITIES AND EXCHANGE COMMISSION DIVISION OF TRADING & MARKETS Washington, D.C. 20549 Section FORM 19b-4(e) NOV 18 2013 Information Required of a Self-Regulatory Organization Listing and Trading Westington Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of the Derivative Secu READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges) Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: Northern Trust Global Quality Real Estate Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based 6. Ticker Symbol(s) of New Derivative Securities Product: GORE 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Australian Stock Exchange (ASX), Borsa Italiana, Deutsche Borse, Euronext Amsterdam, Euronext Brussels, Euronext Parls, Hong Kong, London Stock Exchange, NASDAQ Stock Market LLC, NYSE, OMX Nordic Exchange, Stockholm, SWS Swiss, Singapore Stock Exchange, Tel Aviv Stock Exchange, Tokyo Stock Exchange, 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Joan Conley Title: Secretary

SEC 2449 (6-01)

Date: November 08, 2013

Telephone Number: **301-978-8735**

Manual Signature of Official Responsible for Form:

Public Availability:

Section Rule

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