UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 2009 RD 07013 OMB APPROVAL For Internal Use Only OMB Number: 3235-0504 RECEVEN 2013 RECEVEN 92013 NOV 192013 NOV 19 Sec File No. 9-Washington, D.C. 2019 Rev. 9 2013 MRMUS FORM 19b-4(e) NOV 19 Information Required of a Self-Regulatory Organization Linking and Trading a New tive Securities Product Pursuant to Rule 19b-4(a) Under the Content of Self-Regulatory Organization Linking and Trading a New Washington DC Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. BATS Y-Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. **Class of New Derivative Securities Product:** 3. See attached. Name of Underlying Instrument: 4. See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. 6. Ticker Symbol(s) of New Derivative Securities Product: See attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. · Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: Act Securities Exchange Act of 1934 (913) 815-7154 Section 195. Manual Signature of Official Responsible for Form; Rule 195-((c) Public Date: NOV 1 9 2013 November 15, 2013 Availability:

SEC 2449-(6-01)

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC. COMMENCING ON NOVEMBER 11, 2013

<u>(6)</u> <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	<u>(5) Broad</u> <u>or</u> <u>Narrow</u>
DXKW	Trust	Investment Company Units	WisdomTree Korea Hedged Equity Growth Index	Various World Markets	Broad



November 15, 2013

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

SECURITIES AND EXCHANGE COMMISSION RECEIVED NOV 192013 DIVISION OF TRADING & MARKETS

SEC Mail Processing Section NOV 18 2013 Washington DC 404

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on BATS Y-Exchange on November 11, 2013. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely.

Anders Franzon VP, Associate General Counsel 913.815.7154

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