OMB APPR SECURITIES AND EXCHANGE COMMISSION of Copies Submit 1 Original For Internal Use Only 3235-0504 **OMB Number:** August 31, 2010 Sec File No. 9-Expires: Estimated a burden UNITED STATES RECENTERS AND EXCHANGE COMMISSION hours per responses ing3.60 NOV 19 2013 Washington, D.C. 20549 Section Information Division OF TRADING & CRM 19b-4(e) NOV 152013 ed of a Self-Regulatory Organization Listing and Trading Mashington DC Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 044 READ ALL-INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. BATS Y-Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. Class of New Derivative Securities Product: 3. See attached. Name of Underlying Instrument: 4 See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Act Securines Lin Act of 1934 Manual Signature of Official Responsible for Form: Section 196-4 Rule 191-4(c) Date: Public November 14, 2013 NOV 1 9 2013 Availability: SEC 2449 (6-01)

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON NOVEMBER 8, 2013

		COMMENCING ON NOVEMBER 8, 2013						
<u>(6)</u>	(2) Type of			(7) Market(s) upon Which Securities	(5) Broad			
Ticker	issuer of	· · · · ·	(4) Name of Underlying	Comprising Underlying	or			
Symbol	<u>NDSP</u>	(3) Class of NDSP	<u>Instrument</u>	Instrument Trades	<u>Narrow</u>			
ASHR	Trust	Investment Company Units	CSI 300 Index	Various World Markets	Broad			
GQRE	Trust	Investment Company Units	Northern Trust Global Quality Real Estate Index	Various World Markets	Broad			
EMFM	Trust	Investment Company Units	WisdomTree Korea Hedged Equity Growth Index	Various World Markets	Broad			
	<u>.</u>							
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SEC Mail Processing Section NOV 152013 Washington DC

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November 14, 2013

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on BATS Y-Exchange on November 8, 2013. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

MUN . 9 5013

OWSION OF TRADING & MARKES

Sincerely,

Anders Franzon VP, Associate General Counsel 913.815.7154

	Act Securities Exchange Act of 1934			
	Section	195-4		
	Rule	195-4(e)		
و. منابع	Public Availability:	NOV 1 9 2013		

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