For Internal Use Only		
Sec File No. 9-	Submit 1 Original and 4 copies	OMB APPROVA OMB Number: 3235-05
	- 7	
- 6	DPY se	Expires July 31, 20 Estimated average burd
	JU SE	
	Mail Pro	
	UNITED STATES SECURITIES	0
	SECURITIES AND EXCHANGE COMMISSION	
	WASHINGTON, D.C. 20549 NOV 7	2 2013
	AMENDED FORM 19b-4(c) Washing	
Infor	mation Required of a Self-Regulatory Organization Listing and Tradial	on DC
	ecurities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange	
RE	AD ALL INSTRUCTIONS PRIOR TO COMPLETING FOR	Act of 1934
Part I	Initial Listing Report	
1. Name of Self-Regulatory On	rganization Listing New Derivative Securities Product:	
EDGX Exchange, Inc.		
2. Type of Issuer of New Deriv	vative Securities Product (e.g., clearinghouse, broker-dealer, corpo	ration, etc.):
Northern Trust Investments, Inc.		
3. Class of New Derivative Sec	curities Product:	
Investment Company Unit		I ANTAL HARR THE AREA IN A AND A WAR AND
4. Name of Underlying Instrun	nent:	
		13035363
GQRE: Northern Trust Global Rea	al Estate Index	
5. If Underlying Instrument is a	an Index. State Whether it is Broad-Based or Narrow-based	·····
The 1 index referred to in item 4 ab		
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De	bove is Broad-Based	
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE	bove is Broad-Based erivative Securities Product:	
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE	bove is Broad-Based	
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE	bove is Broad-Based erivative Securities Product:	
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades:	
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades:	
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades:	
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De <u>GQRE</u> 7. Market or Markets Upon Wh GQRE: United States, Hong Kong,	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia	
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De <u>GQRE</u> 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, (Please note, underlyi	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia	mentioned here.)
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, (Please note, underlyi 8. Settlement Methodology of N	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia	mentioned here.)
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, (Please note, underlyi 8. Settlement Methodology of N 7+3, Physical Settlement	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not New Derivative Securities Product:	mentioned here.)
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, (Please note, underlyi 8. Settlement Methodology of N 7+3, Physical Settlement . Position Limits of New Deriv	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia	mentioned here.)
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, (Please note, underlyi 8. Settlement Methodology of N 7+3, Physical Settlement 9. Position Limits of New Deriv Not Applicable	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not in New Derivative Securities Product: vative Securities Product (if applicable):	mentioned here.)
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, (Please note, underlyi 8. Settlement Methodology of N F+3, Physical Settlement 9. Position Limits of New Deriv	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not New Derivative Securities Product:	mentioned here.)
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, (Please note, underlyi 8. Settlement Methodology of N F+3, Physical Settlement 9. Position Limits of New Deriv Not Applicable Part II The undersigned represents that the go	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not in New Derivative Securities Product: vative Securities Product (if applicable): Execution	moved or has duly delegated its
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, <i>(Please note, underlyi</i> 8. Settlement Methodology of N (+3, Physical Settlement 9. Position Limits of New Deriv Not Applicable Part II The undersigned represents that the go pproval to the undersigned for, the list	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not in New Derivative Securities Product: vative Securities Product (if applicable): Execution	moved or has duly delegated its
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, (Please note, underlyi 8. Settlement Methodology of N F+3, Physical Settlement 9. Position Limits of New Deriv Not Applicable Part II The undersigned represents that the go	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not in New Derivative Securities Product: vative Securities Product (if applicable): Execution	moved or has duly delegated its
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, <i>(Please note, underlyi</i> 8. Settlement Methodology of N CH3, Physical Settlement 9. Position Limits of New Deriv Not Applicable Part II The undersigned represents that the go pproval to the undersigned for, the list rocedures, surveillance programs and	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not New Derivative Securities Product: vative Securities Product (if applicable): <u>Execution</u> evening body of the above-referenced Self-Regulatory Organization has duly app sting and trading of the above-referenced new derivative securities product accord listing standards.	proved, or has duly delegated its ting to its relevant trading rules,
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, <i>(Please note, underlyi</i> 8. Settlement Methodology of N (+3, Physical Settlement 9. Position Limits of New Deriv Not Applicable Part II The undersigned represents that the go pproval to the undersigned for, the liss rocedures, surveillance programs and Name of Official Responsible for	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not New Derivative Securities Product: vative Securities Product (if applicable): <u>Execution</u> everning body of the above-referenced Self-Regulatory Organization has duly app sting and trading of the above-referenced new derivative securities product accord listing standards. or Form: Jeffrey S. Rosenstroc	proved, or has duly delegated its ting to its relevant trading rules,
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, <i>(Please note, underlyi</i> 8. Settlement Methodology of N 7+3, Physical Settlement 9. Position Limits of New Deriv Not Applicable Part II he undersigned represents that the go pproval to the undersigned for, the list rocedures, surveillance programs and lame of Official Responsible for itle:	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not New Derivative Securities Product: vative Securities Product (if applicable): <u>Execution</u> werning body of the above-referenced Self-Regulatory Organization has duly app ting and trading of the above-referenced new derivative securities product accord listing standards. or Form: Jeffrey S. Rosenstroc General Counsel	proved, or has duly delegated its ting to its relevant trading rules,
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, (Please note, underlyi 8. Settlement Methodology of N F+3, Physical Settlement 9. Position Limits of New Deriv Not Applicable Part II The undersigned represents that the go pproval to the undersigned for, the list rocedures, surveillance programs and Name of Official Responsible for itle: elephone Number:	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not New Derivative Securities Product: vative Securities Product (if applicable): <u>Execution</u> everning body of the above-referenced Self-Regulatory Organization has duly app sting and trading of the above-referenced new derivative securities product accord listing standards. or Form: Jeffrey S. Rosenstroc General Counsel 201-942-8295	proved, or has duly delegated its ting to its relevant trading rules,
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, <i>(Please note, underlyi</i> 8. Settlement Methodology of N 7+3, Physical Settlement 9. Position Limits of New Deriv Not Applicable Part II he undersigned represents that the go pproval to the undersigned for, the list rocedures, surveillance programs and lame of Official Responsible for itle:	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not New Derivative Securities Product: vative Securities Product (if applicable): Execution everning body of the above-referenced Self-Regulatory Organization has duly app sting and trading of the above-referenced new derivative securities product accord listing standards. or Form: Jeffrey S. Rosenstroc General Counsel 201-942-8295 esponsible for Form:	proved, or has duly delegated its ting to its relevant trading rules,
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, (Please note, underlyi 8. Settlement Methodology of N 7+3, Physical Settlement 9. Position Limits of New Derivitor 10. Applicable Part II The undersigned represents that the gor pproval to the undersigned for, the list rocedures, surveillance programs and Iame of Official Responsible for itle: elephone Number: fanual Signature of Official Responsible for 10. Signature of Official Respons	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not New Derivative Securities Product: vative Securities Product (if applicable): <u>Execution</u> everning body of the above-referenced Self-Regulatory Organization has duly app tring and trading of the above-referenced new derivative securities product accord listing standards. or Form: Jeffrey S. Rosenstroc General Counsel 201-942-8295 esponsible for Form: Jeffrey S. 2013	proved, or has duly delegated its ling to its relevant trading rules, k constitutes Exchange Act of
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, (Please note, underlyi 8. Settlement Methodology of N 7+3, Physical Settlement 9. Position Limits of New Derivitor 10. Applicable Part II The undersigned represents that the gor pproval to the undersigned for, the list rocedures, surveillance programs and Iame of Official Responsible for itle: elephone Number: fanual Signature of Official Responsible for 10. Signature of Official Respons	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not New Derivative Securities Product: vative Securities Product (if applicable): Execution everning body of the above-referenced Self-Regulatory Organization has duly app tring and trading of the above-referenced new derivative securities product accord listing standards. or Form: Jeffrey S. Rosenstroc General Counsel 201-942-8295 esponsible for Form: Jeffrey 8, 2013	proved, or has duly delegated its ting to its relevant trading rules, k
The 1 index referred to in item 4 ab 6. Ticker Symbol(s) of New De GQRE 7. Market or Markets Upon Wh GQRE: United States, Hong Kong, (Please note, underlyi 8. Settlement Methodology of N 7+3, Physical Settlement 9. Position Limits of New Derivitor 10. Applicable Part II The undersigned represents that the gor pproval to the undersigned for, the list rocedures, surveillance programs and Iame of Official Responsible for itle: elephone Number: fanual Signature of Official Responsible for 10. Signature of Official Respons	bove is Broad-Based erivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not New Derivative Securities Product: vative Securities Product (if applicable): Execution everning body of the above-referenced Self-Regulatory Organization has duly app tring and trading of the above-referenced new derivative securities product accord listing standards. or Form: Jeffrey S. Rosenstroc General Counsel 201-942-8295 esponsible for Form: Jeffrey 8, 2013	proved, or has duly delegated its ling to its relevant trading rules, k constitutes Exchange Act of
The 1 index referred to in item 4 ab 5. Ticker Symbol(s) of New De 5. Settlement Methodology of N +3, Physical Settlement Position Limits of New Derive ot Applicable Part II the undersigned represents that the go proval to the undersigned for, the list ocedures, surveillance programs and ame of Official Responsible for itle: elephone Number: (anual Signature of Official Responsible for (anual Signature of Official Resp	bove is Broad-Based crivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not New Derivative Securities Product: vative Securities Product (if applicable): Execution verning body of the above-referenced Self-Regulatory Organization has duly app ting and trading of the above-referenced new derivative securities product accord listing standards. or Form: Jeffrey S. Rosenstroc General Counsel 201-942-8295 Esponsible for Form: November 8, 2013	broved, or has duly delegated its ling to its relevant trading rules, k constitues Exchange Act of 95-4 Viv(2)
he 1 index referred to in item 4 ab . Ticker Symbol(s) of New De QRE . Market or Markets Upon Wh QRE: United States, Hong Kong, (Please note, underlyi Settlement Methodology of N +3, Physical Settlement Position Limits of New Deriv ot Applicable Part II the undersigned represents that the go proval to the undersigned for, the list occedures, surveillance programs and ame of Official Responsible for the: lephone Number: anual Signature of Official Responsible for the surveillance programs and the surveillance programs and the surveill	bove is Broad-Based crivative Securities Product: hich Securities Comprising Underlying Instrument Trades: Japan, Australia ing components may trade on additional exchanges in countries / regions not New Derivative Securities Product: vative Securities Product (if applicable): Execution verning body of the above-referenced Self-Regulatory Organization has duly app ting and trading of the above-referenced new derivative securities product accord listing standards. or Form: Jeffrey S. Rosenstroc General Counsel 201-942-8295 Esponsible for Form: November 8, 2013	proved, or has duly delegated its ling to its relevant trading rules, k counties Exchange Act of 95-4