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OMB APPROVAL

OMB Number: 3235-0504

Expires July 31, 2004 Estimated average burden

hours per response. . 2.00

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NOV 122013

Washington DC

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)

UNITED STATES

Information Required of a Self-Regulatory Organization Listing and Trading a New

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

**Initial Listing Report** 

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Franklin Advisers, Inc.

3. Class of New Derivative Securities Product:

**Investment Company Unit** 

4. Name of Underlying Instrument:



13035362

FTSD: U.S. Mortgage Backed Securities, Treasury Bonds, Notes, Debentures

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

FTSD

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

FTSD: AMEX, ARCA, NASDAQ, NYSE

(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Title:

Telephone Number:

Jeffrey S. Rosenstrock

General Counsel
A201-942-8295

General Counsel
A201-942-8295

Manual Signature of Official Responsible for Form:

Date:

Raypvember 8, 10134(c)

Public Availability:

NOV 1 2 2013