Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): ALPS Advisors, Inc.
3. Class of New Derivative Securities Product:

Investment Company Unit
4. Name of Underlying Instrument:


ENFR: Alerian Energy Infrastructure Index
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is Narrow-Based
6. Ticker Symbol(s) of New Derivative Securities Product:

ENFR
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

ENFR: AMEX, ARCA, NASDAQ, NYSE
(Please note, underlying components may trade on additional axchanges in countries / regions not mentioned here.)
8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement
9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

| Part II |
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| Execution |
| The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its |
| approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, |
| procedures, surveillance programs and listing standards. |


| Name of Official Responsible for Form: | Jeffrey S. Rosenstrock |
| :---: | :---: |
| Title: | General Counsel |
| Telephone Number: | A291-942-8295 Scicities ruaten |
| Manual Signature of Official Responsible for Form: | TSR |
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