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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)

Mail Processing Section

NOV 122013

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

Washington DC

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

## **Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc.
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Global X Management Company LLC
- 3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:



EMFM: Solactive Next Emerging & Frontier Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

**EMFM** 

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

EMFM: Kuwait, Pakistan, Vietnam, Thailand

(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)

- 8. Settlement Methodology of New Derivative Securities Product:
- T+3, Physical Settlement
- 9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:	Jeffrey S. Rosenstrock
Title:	General Counsel
Telephone Number:	A201-942-8295 Constitution 1
Manual Signature of Official Responsible for Form:	AMI-942-8295 Societies Exchange Act of 1934
Date:	SNovember 8, 2958-4
	Rule 195-4(a)

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