091-16361 fr

For Internal Use Only Sec File No. 9-

Submit 1 Original and 9 Copies

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504 Expires: July 31, 2016 Esting exerage burden

Manus persenting.....3.6

Section

NOV 132013

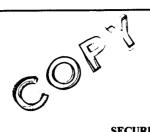
Information Required of a Self-Regulatory Organization Listing and Trawash Property Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange 415 of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

| Part I Initial Listing Report Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC | | | | | |
|---|--|------------------------------|-------------------------------------|--|--|
| | | | | | |
| 2. | Type of Issuer of New Derivative Securities Product (e.g., cl Open End Management Investment Company | learinghouse, broker-dea | er, corporation, etc.): | | |
| 3. | Class of New Derivative Securities Product: Exchange Traded Fund | | | | |
| | Name of Underlying Instrument: WisdomTree Korea Hedged Equity Growth Index | | | | |
| 5. | . If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-Based | | | | |
| 6. | . Ticker Symbol(s) of New Derivative Securities Product: DXKW | | | | |
| 7. | . Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Korea | | | | |
| 8. | 3. Settlement Methodology of New Derivatives Product: Regular way trades settle on T + 3 (cash settled) | | | | |
| 9. | Position Limits of New Derivative Securities Product (if applicable): N/A | | | | |
| Part II Execution | | | | | |
| | The undersigned represents that the governing body of approved, or has duly delegated its approval to the und new derivative securities product according to its relevant standards. | dersigned for, the listing a | and trading of the above-referenced | | |
| | ame of Official Responsible for Form: Continue | | | | |
| | itle: ice President | | | | |
| | elephone Number: -301-978-8088 | | | | |
| М | Ianual Signature of Official Responsible for Form: | | | | |
| D | ate: November 11, 2013 | Act | Securities Exchange Act of 1934 | | |
| | // | Section Rule | 1954 | | |
| | | Public Availabilitys | NOV 1 a 2013 | | |

SEC 2449 (6-01)

For Internal Use Only Sec File No. 9-



Submit 1 Original and 4 copies

OMB APPROVAL OMB Number: 3235-0504

Expires July 31, 2004 SEC

Mail Processing ated average burden hours per response. . 2.00

NOV 1 2 2013

Availability:

NOV 122013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| | WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e) | Washington DC 404 | | | |
|---|---|--|--|--|--|
| Information Required of a | a Self-Regulatory Organization Listi | ng and Trading a New | | | |
| • | rsuant to Rule 19b-4(e) Under the Se | | | | |
| | UCTIONS PRIOR TO COMP | | | | |
| Part I | Initial Listing Report | | | | |
| 1. Name of Self-Regulatory Organization Listin | | oduct: | | | |
| EDGA Exchange, Inc. | | | | | |
| 2. Type of Issuer of New Derivative Securities I | Product (e.g., clearinghouse, bro | ker-dealer, corporation, etc.): | | | |
| DBX Advisors LLC | | | | | |
| 3. Class of New Derivative Securities Product: | | | | | |
| Investment Company Unit | | | | | |
| 4. Name of Underlying Instrument: | | | | | |
| ASHR: CSI 300 Index | | | | | |
| 5. If Underlying Instrument is an Index, State W The 1 index referred to in item 4 above is Broad-Based | | row-based: | | | |
| 6. Ticker Symbol(s) of New Derivative Securities | | | | | |
| ASHR | | | | | |
| 7. Market or Markets Upon Which Securities Co | omprising Underlying Instrumer | nt Trades: | | | |
| ASHR: China | | | | | |
| (Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.) | | | | | |
| 8. Settlement Methodology of New Derivative S | Securities Product: | | | | |
| T+3, Physical Settlement | | | | | |
| 9. Position Limits of New Derivative Securities | Product (if applicable): | | | | |
| Not Applicable | Execution | | | | |
| Part II The undersigned represents that the governing body of the approval to the undersigned for, the listing and trading of approcedures, surveillance programs and listing standards. | above-referenced Self-Regulatory Org | anization has duly approved, or has duly delegated its curities product according to its relevant trading rules, | | | |
| Name of Official Responsible for Form: | | rey S. Rosenstrock | | | |
| Title: | | eral Counsel | | | |
| Telephone Number: | | 942-8295 Locality Act of 1934 | | | |
| Manual Signature of Official Responsible for Fo | | | | | |
| Date: | | ember 8, 2013 195-3 | | | |
| | je i na | alc 15')-4(e) | | | |
| • | P | ublic | | | |